MRSEC Interactions Between Steps: Entropic, Elastic, and Electronic Ted Einstein Physics, U. of Maryland, College Park einstein@umd.edu http://www2.physics.umd.edu/~einstein

In collaboration with Alberto Pimpinelli, Rajesh Sathiyanarayanan, Ajmi BHadj Hamouda, Kwangmoo Kim, Hailu Gebremariam, T.J. Stasevich, H.L. Richards, O. Pierre-Louis, S.D. Cohen, R.D. Schroll, N.C. Bartelt, and experimental groups of Ellen D. Williams & J.E. Reutt-Robey at UM, M. Giesen & H. Ibach at FZ-Jülich, & J.-J. Métois at Marseilles

- Stiffness, characteristic energies, etc.
- Terrace width distributions, entropic interactions
- Steps on vicinal surfaces as meandering fermions in (1+1)D...¿interactions?
- Elastic interactions, consequences of simplest isotropic LR form
- Corrections at short range, finite-size effects
- Scaling forms, generalized Wigner distribution for TWD; meaning of ϱ
- Interactions mediated by surface states; new length scale, breakdown of scaling
- Fluctuations of a facet edge (shoreline), understanding Spohn's results

SOS (solid-on-solid) model of vicinals





f : *projected* free energy per area = surface free energy per area/cos(ϕ)

Vicinal expansion: $f = f_0 + (\beta/h) \tan \phi + g \tan^3 \phi = = f_0 + [(\beta/h) + g \tan^2 \phi] \tan \phi$ $\tan \phi = h /\langle \ell \rangle = \text{step density} \qquad \beta \text{ since 1 dimension lower than } \gamma ?!?$

Rough: $f - f_0 \propto \tan^2 \phi$

Kink energy ε : $f(\phi_0, \theta) = f_0 + (\tan(\phi_0)/h)[\beta(0) + (\varepsilon/b) \tan \theta]$

Extracting key energies from slab calculations

▲ To estimate energy of flat (singular) surface from slab calculation:

$$\mathscr{E}_{\rm fl} - \mathscr{N}_{\rm fl} E_{\rm bulk} = 2A_{\rm fl} f_0$$

▲ To estimate step energy per length, use **awning** ("auvent") approximation:

Step [free] energy per length β = f of riser × length along riser – f of terraceplane × shaded length[f has units of energy/length²]

{001}
$$\beta_{100-\text{str}} \approx \left(\frac{\sqrt{3}}{2}f_{111} - \frac{1}{2}f_{100}\right)a_1$$

$$\{111\} \qquad \beta_{\rm A} \approx \left(f_{100} - \frac{\sqrt{3}}{3}f_{111}\right)a_1 \qquad \beta_{\rm B} \approx \left(f_{111} - \frac{1}{3}f_{111}\right)a_1 \frac{\sqrt{3}}{2} = f_{111}a_1/\sqrt{3}$$

• Similarly, kink energy ϵ_k can be obtained using a lower-D awning approximation.

R.C. Nelson, TLE, et al., Surf. Sci. 295 ('93) 462

: \ --







Steps as polymers in $2D \Rightarrow$ non-crossing

THE JOURNAL OF CHEMICAL PHYSICS

VOLUME 48, NUMBER 5

1 MARCH 1968

Soluble Model for Fibrous Structures with Steric Constraints



FIG. 1. Model for a two-dimensional fiber structure.



Models & Key Energies Discrete/atomistic → Step Continuum

energy of unit height difference between NN sites + hopping barriers, attach/detach rates

kink energy



- step stiffness $\beta(\theta) + \beta''(\theta)$: inertial "mass" of step
- A strength of step-step repulsion A/ℓ²
 Γ rate parameter, dependent on microscopic transport mechanism

Main test: Self-consistency of these 3 parameters to explain many phenomena Coarse-grain: Relation of 3 nano/mesoscale parameters to atomistic energies??



Handwaving argument:

"time" (or y) until hit $\propto \ell^2 \Rightarrow \#$ hits/"time" $\propto 1/\ell^2 \Rightarrow$ entropic int'n [per length] $\propto 1/\ell^2$

Lose entropy $k_B \ln(2)$ at each hit \Rightarrow free energy rises by $k_B T \ln(2)$

Formal proof: M.E. & D.S. Fisher, Phys. Rev. B 25 ('82) 3192

Why Stiffness ? $\widetilde{\beta}$

$$\mathcal{E} = \int \beta(\theta) \sqrt{1 + \left(\frac{dx}{dy}\right)^2} \, dy \sim \text{const.} + \frac{\tilde{\beta}(0)}{2} \int \left(\frac{dx}{dy}\right)^2 \, dy \to \frac{\mathbf{m}}{2} \int \left(\frac{dx}{dt}\right)^2 \, dt$$

$$\mathcal{E} = \int \beta(\theta) \sqrt{1 + \tan^2 \theta} \, dy = \int dy \left[\beta(0) + \frac{1}{2} \beta''(0) \theta^2 \right] / \cos(\theta)$$

$$\approx \int dy \left[\beta(0) + \frac{1}{2}\beta''(0)\theta^2\right] \left(1 + \frac{1}{2}\theta^2 + \ldots\right)$$

$$\int dy \left[\beta(0) + \frac{1}{2} \{\beta(0) + \beta''(0)\} \theta^2 \right]$$

dy

θ

 $dy/\cos\theta$

 $\beta(0)$ due to greater path length

 $J = \begin{bmatrix} 2 & \beta''(0) \\ M.P.A. Fisher, D.S. Fisher, & J.D. Weeks, PRL 48 ('82) 368 \end{bmatrix}$

What if we expand around θ_0 , where $\beta'(\theta_0) \neq 0$?

 \approx

 $\beta(\theta_0) \equiv \beta(\theta_0) + \beta''(\theta_0)$

$$\beta(\theta) = \beta(\theta_0) + \beta'(\theta_0)(\theta - \theta_0) + \frac{1}{2}\beta''(\theta_0)(\theta - \theta_0)^2 + \dots,$$

To create this unfavorable orientation, one must apply a torque $-\beta'(\theta_0)(\theta - \theta_0)$

which cancels linear term H.J. Leamy, G.H. Gilmer, K.A. Jackson, in: *Surface Physics of Crystalline Materials*, ed. by J.M. Blakely (Academic, New York, 1976)

Formal proof in T.J. Stasevich dissertation

Essence of Gruber-Mullins (MF)



Single active step meanders between 2 steps separated by twice mean spacing. Fermion evolves in 1D between 2 fixed infinite barriers $2\langle l \rangle$ apart.

1D Schrödinger equation



Ground State

$$\psi_{0}(x) = \frac{1}{\langle \ell \rangle} \cos\left(\frac{\pi \ell}{2\langle \ell \rangle}\right)$$

$$E_{0} = \frac{(k_{B}T)^{2}\pi^{2}}{8\tilde{\beta}\langle \ell \rangle^{2}}$$
Remarkably, E_{0} is exactly the entropic repulsion!
$$\tilde{A} = 0$$

$$E_{0} \propto \sin^{2}(\pi s/2)$$

$$E_{0} \propto \sin^{2}(\pi s/2)$$

$$E_{0} \propto \sin^{2}(\pi s/2)$$

Origin of elastic (dipolar) step repulsions

Frustration of relaxation of terrace atoms between steps
 frustrated relaxation ⇒ repulsion

•Energy/length: $U(\ell) = A/\ell^2$ (Same *y* for points on two interacting steps separated by ℓ along $x \Rightarrow$ "instantaneous")

Importance of step repulsions

- •1 of 3 parameters of continuum step model of vicinals
- •Determine 2D pressure
- •Determine morphology: e.g. bunch or pair
- •Drives kinetic evolution in decay
- •Elastic and entropic repulsions $\propto \ell^{-2}$ (entropic from $-\partial^2/\partial\ell^2$)

 \Rightarrow universality of $\langle \ell \rangle^{-1} P(\ell)$ vs. $s \equiv \ell / \langle \ell \rangle$ so $P(s; \langle \ell \rangle) \rightarrow P(s)$ scaling

Metallic surface states \Rightarrow additional oscillatory term in U

 $U(\ell) \propto \ell^{-3/2} \cos (4\pi \ell / \lambda_{\rm F} + \phi)$ new length scale $\lambda_{\rm F}$

Per Hyldgaard & TLE, J. Crystal Growth 275, e1637 (2005) [cond-mat/0408645].

How the stress dipole at step edges arises



V.I. Marchenko & Y.A. Parshin, Sov. Phys. JETP 52 ('80) 129

Stewart et al., PRB **49** ('94) 13848

2D classical: springs (beyond NN) for Si



Some useful reviews re elastic interactions...

P. Nozières, in C. Godrèche (ed.), Solids Far from Equilibrium [Lectures at Beg-Rohu Summer School], Cambridge University Press ('93) p. 1.

P. Müller & A. Saúl, Elastic effects on surface physics, Surf. Sci. Rept. 54 ('04) 157.

H. Ibach, The role of surface stress in reconstruction, epitaxial growth and stabilization of mesoscopic structures, Surf. Sci. Rept. 29 ('97) 193

and articles by Nanosteps attendees

P. Müller & A. Saúl, Elastic effects on surface physics, Surf. Sci. Rept. 54 ('04) 157.

B. Houchmandzadeh & C. Misbah, Elastic Interaction Between Modulated Steps on Vicinal Surfaces, J. Phys. (France) I 5 ('95) 685; P. Peyla, A. Vallat, & C. Misbah, Elastic interaction between defects on a surface, J. Crystal Growth 201/202 ('99) 97

V.B. Shenoy & C.V. Ciobanu, Orientation dependence of the stiffness of surface steps: an analysis based on anisotropic elasticity, Surf. Sci. 554 ('04) 222; C.V. Ciobanu, D.T. Tambe, & V.B. Shenoy, Elastic interactions bet'n [100] steps and bet'n [111] steps on TiN(001), Surf. Sci. 582 ('05) 145

F. Leroy, P. Müller, J.-J. Métois & O. Pierre-Louis, Vicinal silicon surfaces: From step density wave to faceting, Phys. Rev. B 76 ('07) 045402



Fig. 8. The elastic component of the step energy $\Gamma = R\gamma_{01n} - (na_0\gamma_{001})/2 = \gamma_{step}^{[100]} + \gamma_{int}(R)$ for [110] steps on the (001) Cu surface as a function of step spacing, R. The circles represent the energies determined based on our atomistic simulation results while the dashed and solid lines are calculated using the parameters found in fitting the ($\overline{1}1m$) surface energies to Eq. (7) with $k_{max} = 2$ and 3, respectively.

Najafabadi & Srolovitz, use EAM & study EAM metals: Ni, Pd, Pt, Cu, Ag, Au

$$E_{\text{tot}} = \sum_{i=1}^{N} \sum_{j \neq i} \phi(r_{ij}) + \sum_{i=1}^{N} F\left(\sum_{j \neq i} \rho(r_{ij})\right)$$

- A_2/ℓ^2 OK for $\ell > 3a_0$
- Need A_3/ℓ^3 also, for $\ell < 3a_0$
- Including A₄/ℓ⁴, too, does not improve much

R. Najafabadi, D.J. Srolovitz / Surface Science 317 (1994) 221

Table 1

The material dependent coefficients ζ_k of the R^{-k} terms in the expansion of the interaction energy between [100] steps (Eq. (7)) extracted from fitting the simulated (01*n*) surface energies; The ζ_k are reported for fits to the expansion with $k_{\text{max}} = 2$, 3 and 4 for each of the six fcc metals examined; The goodness of fit parameter χ^2 would be zero for a perfect fit Table 2

The material dependent coefficients ζ_k of the R^{-k} terms in the expansion of the interaction energy between [110] steps (Eq. (7)) extracted from fitting the simulated ($\overline{11}m$) surface energies

goodness of fit parameter χ^2 would be zero for a perfect fit					$10^{13} \zeta_2$ (J/m)	$10^{13} \zeta_3$ (J/m)	$10^{13} \zeta_4$ (J/m)	x²	
	$10^{13} \zeta_2$ (J/m)	$\frac{10^{13} \zeta_3}{(J/m)}$	$10^{13} \zeta_4$ (J/m)	x ²	Ag	26 36	- 34		0.0003
Ag	19			0.0013		34	-16	- 35	0.0002
	20	-7		0.0003					
	20	-3	-7	0.0003	Au	108			0.0077
						142	- 141		0.0004
Au	73			0.0271		135	- 78	-122	0.0003
	88	- 60		0.0022				_	
	85	- 34	- 49	0.0021	Cu	56			0.0031
					00	78	- 92		0.0017
Cu	41			0.0014		72	- 48	- 85	0.0017
	47	-23		0.0007					
	46	-14	- 18	0.0006	Ni	36			0.0007
						48	-60		0.0004
Ni	26			0.0027		44	- 29	- 60	0.0004
	28	- 10		0.0003				- •	
	28	-5	-11	0.0003	Pd	126			0.0073
					•••	165	- 166		0.0004
Pd	87			0.0278		156	-97	-134	0.0004
	101	- 55		0.0032					
	97	-22	-62	0.0030	Pt	206			0.0138
						263	- 255		0.0015
Pt	146			0.0565		247	- 123	-254	0.0016
	165	- 74		0.0081					
	161	- 40	65	0.0078					

How far apart must steps be just inverse-square repulsion?



(zig-zag), separated by 300a₀ [Shilkrot&Srolovitz, PRB 53 ('96) 11120]

A_1 A_2 A_3		A_1 (eV)	$A_2 \;(\mathrm{eV}\;\mathrm{\AA})$	$A_3 (\text{eV Å}^2)$
$E_{int} = \frac{11}{\ell} + \frac{12}{\ell^2} + \frac{13}{\ell^3}$	Au	-0.0003 ± 0.0002	0.17 ± 0.02	-0.62 ± 0.34
	Ni	$-0.000\ 03 \pm 0.000\ 02$	0.045 ± 0.001	-0.14 ± 0.02

Including anisotropy (Stroh formalism) improves fit with dipole

Elastic Interactions on Principal Faces of Si



Leroy, Müller, Métois, Pierre-Louis, PRB 76 ('07) 045402

mo-mo		$lpha_1 \ln(L/2\pi a)$	$\alpha_1 = \frac{Ea^2m_o^2}{\pi(1-\nu^2)}$
di-di		$\alpha_2(a/L)^2$	$\alpha_2 = \frac{2A^2(1-\nu)^2}{\pi Ea^4}$
mo-di	•	$\alpha_3(a/L)$	$\alpha_3 = -\frac{Am_o}{\pi a}(1+\nu)$
di-mo		$-lpha_3(a/L)$	

P. Müller, A. Saúl/Surface Science Reports 54 (2004) 157-258



TABLE III. (a) Elastic energy W/L for dipoles. Moreover the expressions are given per unit step-length, thus the unity is an energy over surface area. (b) Elastic energy W/L for alternated monopoles. Notice that $A_{dip} = +\frac{1-\nu^2}{\pi E}A^2$ but $A_{monop} = \frac{(1+\nu)(1-2\nu)}{\pi E}F_y^2$ (see the Appendix). The + and - signs arise, respectively, for N even and N odd. Moreover, the expressions are given per unit step length; thus, the unity is an energy over surface area.

(a)	Intrabunch	Interaction between two bunches (interbunch)	Interaction energy for an infinite periodic surface		
Exact expression	$\frac{A_{dip}}{a^2} \sum_{i < j,j} \frac{1}{(i-j)^2}$	$\frac{A_{dip}}{a^2} \sum_{i,j=1}^{N} \frac{1}{\left[M + (i-j)\right]^2}$	$\frac{A_{dip}}{a^2} \sum_{k} \sum_{i,j=1}^{N} \frac{1}{[kM + (i-j)]^2}$		
Approximated expression	$\frac{A_{dip}}{a^2} \left[N \frac{\pi^2}{6} - 1 - \ln N \right]$	$-\frac{A_{dip}}{a^2}\ln\left[1-\left(\frac{N}{M}\right)^2\right]$	$-\frac{A_{dip}}{a^2} \ln \left[\frac{\sin \left(\frac{\pi N}{M} \right)}{\left(\frac{\pi N}{M} \right)} \right]$		
(b)	Intrabunch	Between two bunches (interbunch)	For an infinite pattern of bunches		
Exact expression	$\frac{A_{mon}}{a_0^2} \sum_{i < j, j=1}^N (-1)^{j-i} \ln \left((j-i) \frac{a}{a_0} \right)$	$\frac{A_{mon}}{a_0^2} \sum_{i,j=1}^{N} (-1)^{j-i} \ln \left((M+j-i) \frac{a}{a_0} \right)$	$\frac{A_{mon}}{4a_0^2} \sum_{k} \sum_{i,j=1}^{N} (-1)^{j-i} \ln\left[\left((kM+j-i)\frac{a}{a_0}\right)\right]$		
Approximated expression	$\frac{A_{mon}}{4a_0^2} \left[2N \ln\left(\frac{\pi a_0}{2a}\right) - 1 \pm \ln N \right]$	$\pm \frac{A_{mon}}{4a_0^2} \ln \left[1 - \left(\frac{N}{M}\right)^2 \right]$	$\pm \frac{A_{mon}}{4a_0^2} \ln \left(\frac{\sin \left(\frac{\pi N}{M} \right)}{\left(\frac{\pi N}{M} \right)} \right)$		

Particle in 1D Box vs. Exact





Entropic & Elastic Not Simply Additive! Large à keeps steps apart, decreasing contribution of entropic relative to energetic



Jayaprakash, Rottman, & Saam, PRB 30 ('84) 6549 & factor 2 error

Particle in 1D Box vs. Exact



Steps in 2D \rightarrow fermion worldlines in 1D

- Step non-crossing \Rightarrow fermions or hard bosons
- Energy ∞ path-length \times free energy/length β , expand \Rightarrow 1D Schrödinger eqn., $m \rightarrow$ stiffness β
- Analogous to polymers in 2D (deGennes, JCP '68)
- Only dependence on A via $\tilde{A} \equiv \beta A/(k_B T)^2$
- Mean-field (Gruber-Mullins): 1 active step, $0 \le s \le 2$
 - $\tilde{A} = 0$: particle in box, $P(s) = \Psi_0^2 \propto \sin^2(\pi s/2)$, $\varepsilon_0 \propto T^2/\beta \langle \ell \rangle^2 \rightarrow entropic repulsion$
 - $-\tilde{A} \ge 1\frac{1}{2}$: parabolic well, $P(s) \propto \exp[-(s-1)^2/2W_M^2]$, $W_M \propto \tilde{A}^{-1/4} \langle \ell \rangle$
- $\tilde{A} \rightarrow \infty$: "phonons", variance of P(s) is $2 W_M^2$, not W_M^2

Comparison of prefactors for Gaussian approximations

Measure variance σ^2 of TWD

 $\sigma^2 = \kappa_X / \varrho$

Model	Approximation	NN/all	κ _X	
Gruber-Mullins	Single active step	NN	(0.289)	
•		all	(0.277)	
Grenoble	Grenoble Entropy completely neglected,		(0.520)	
	Independent steps	all	(0.475)	
Grenoble, modified	Entropy included only	NN	0.520	
	in average way	all	0.475	
Saclay	Continuum roughening theory	all	$4\pi^{-2} \cong 0.405$	
Wigner	Wigner surmise	all	(1/2)	

Wigner Surmise (WS) for TWD (terrace-width distribution)



Calogero-like Hamiltonian:

$$\mathcal{H} = -\sum_{j=1}^{N} \frac{\partial^2}{\partial x_j^2} + 2\frac{\beta}{2} \left(\frac{\beta}{2} - 1\right) \sum_{1 \le i < j \le N} (x_j - x_i)^{-2} + \omega^2 \sum_{j=1}^{N} x_j^2$$

[In the limit $N \to \infty$, $\omega \to 0$; in Calogero $\mathcal{H}, x_j^2 \to (x_j - x_i)^2$.]

$$\Psi_0 = \prod_{1 \le i < j \le N} |x_j - x_i|^{\beta/2} \exp\left(-\frac{1}{2}\omega \sum_{k=1}^N x_k^2\right)$$

The ground-state density Ψ_0^2 is recognized as a joint probability distribution function from the theory of random matrices for Dyson's Gaussian ensembles.

Sutherland Hamiltonian:

$$\mathcal{H} = -\sum_{j=1}^{N} \frac{\partial^2}{\partial x_j^2} + 2\frac{\beta}{2} \left(\frac{\beta}{2} - 1\right) \frac{\pi^2}{L^2} \sum_{i < j} \left[\sin \frac{\pi(x_j - x_i)}{L}\right]^{-2}$$
$$\Psi_0 = \prod_{i < j} \left|\sin \frac{\pi(x_j - x_i)}{L}\right|^{\beta/2}, \quad x_j > x_i$$
$$\theta_i \equiv 2\pi x_i / L \quad \Rightarrow \quad \Psi_0^2 = \prod_{i < j} \left|e^{i\theta_j} - e^{i\theta_i}\right|^{\beta}$$

The ground-state density Ψ_0^2 is also a joint probability distribution function from the theory of random matrices, now for Dyson's circular ensembles.

Note that the pair correlation functions and other properties of the ensembles can be evaluated exactly only for the cases $\beta = 1, 2, \text{ or } 4$, corresponding to orthogonal, unitary, or symplectic symmetry of the ensemble.

Generalized Wigner Surmise (GWS) for TWD

Generalizing from the special cases:

- The three special cases correspond to $\rho = 1, 2, \text{ and } 4$.
- \tilde{A} and ϱ are related by: $\tilde{A} = (\varrho 2)\varrho/4$; $\varrho = 1 + \sqrt{1 + 4\tilde{A}}$
- Simplest interpolation expression:

$$P_{arrho}(s) = a_arrho s^arrho \exp(-b_arrho s^2)$$

Two conditions on P_e(s): normalization & unit mean
 ⇒ values of a_e, b_e (in terms of Γ functions),

Why is the Wigner surmise is so interesting and universal? "Stay tuned" until next week!

Can still use Gaussian for large $\tilde{A},$ if generalize from $\tilde{A} \propto \sigma^{\text{-4}}$ to:

$$\tilde{A} \approx \frac{1}{16} \left[(\sigma^2)^{-2} - 7(\sigma^2)^{-1} + \frac{27}{4} + \frac{35}{6}\sigma^2 \right]$$

Discreteness of steps not important for $\langle \ell \rangle \ge 4$

System collapses for $\tilde{A} < -1/4$

 $U(\ell) = A/\ell^2$ $\tilde{A} \equiv \frac{\tilde{\beta}A}{(k_B T)^2}$

Monte Carlo data confronts approximations



Comparison of variance of *P*(*s*) vs. Ã computed with Monte Carlo: GWS does better, quantitatively & conceptually, than any other approximation Hailu Gebremariam et al., Phys. Rev. B 69 ('04)125404

Experiments measuring variances of TWDs

Vicinal	T (K)	σ ²	e	Ã	$A_{\rm W}/A_{\rm G}$	<i>A</i> w (eV Å)	Experimenters
Pt(110)-(1 × 2)	298		2.2	0.13	_	$\tilde{\beta} = ?$	Swamy, Bertel [36]
Cu(19, 17, 17)	353	0.122	4.1	2,2	0.77	0.005	Geisen [5,54]
Si(111)	1173	0.11	3.8	1.7	0.96	0.4	Bermond, Métois [55]
Cu(1,1,13)	348	0.091	4.8	3.0	1.27	0.007	Giesen [5,56]
Cu(11,7,7)	306	0.085	5.1	4	1.37	0.004	Geisen [5,54]
Cu(111)	313	0.084	5.0	3.6	1.39	0.004	Geisen [5,54]
Cu(111)	301	0.073	6.0	6.0	1.58	0.006	Geisen [5,54]
Ag(100)	300	0.073	6.4	6.9	1.58	$\tilde{\beta} = ?$	P. WangWilliams
Cu(1, 1, 19)	320	0.070	6.7	7.9	1.64	0.012	Geisen [5,56]
Si(111)-(7 × 7)	1100	0.068	6.4	7.0	1.67	0.7	Williams [57]
Si(111)-(1 × 1)Br	853	0.068	6.4	7.0	1.67	0.1	XS. Wang, Williams [58]
Si(111)-Ga	823	0.068	6.6	7.6	1.67	1.8	Fujita Ichikawa [59]
Si(111)-A1 √3	1040	0.058	7.6	10.5	1.85	2.2	SchwennickeWilliams [60]
Cu(1, 1, 11)	300	0.053	8.7	15	1.95	0.02	Barbier et al. [21]
Cu(1, 1, 13)	285	0.044	10	20	2,12	0.02	Geisen [5,56]
Pt(111)	900	0.020	24	135	2.59	6	HahnKern [61]
Si(113) rotated	1200	0.004	124	3.8×10^{3}	2.92	(27 ± 5) × van Dijken, Zandvli	
-						10 ²	sema [9]

Experimental Test of Thermal Dependence of Ã



Fig. 6. Temperature dependence of $T^2 \tilde{A}_W$ (solid circles) and $T^2 \tilde{A}_G$ (open squares) for Cu (1113), with error bars distinguished by narrow and wide feet respectively. The solid curve is calculated from Eq. (1), with $\tilde{\beta}$ obtained from Footnote 4 and A set to 7.1 meV Å, the value determined in Ref. [9]. The gray band blanketing the data corresponds to a range of about $\pm 50\%$ of A.

M. Giesen & TLE, Surface Sci. 449 (00') 191

Effective attraction: $\rho = 2 \rightarrow \rho < 2$, finite-size dep. 1.0 P(s) Metropolis MC on TSK 0.8 P(s) Kinetic MC on SOS L = 6 L = 10 1.0 0.6 P₂(s) L=06 L=10 0.8 0.4 L=15 P_1 • P_{1.4} 0.6 0.2 • P₂ 0.4 0.0 0.0 0.5 1.0 1.5 2.0 2.5 3.0 s 0.2 6 8 10 12 16 L 0.0 ⊾ 0.0 0.5 1.0 1.5 2.0 2.5 3.0 1.3 1.3 1.4 1.45 $\varrho(L)$ S Ã -0.23 -0.23 -0.21 -0.20 -0.25 E_d = 1 eV $E_{a} = 0.35 \text{ eV}$ T = 580 K

What happens when steps are allowed to touch?



 Overlapping steps (NN2) can be mapped onto Nearest-Neighbor Included (NNI) chain, then *shifted* and *rescaled*



NNE : S.-A. Cheong & C. L. Henley (unpublished); S.-A. Cheong, dissertation

Anisotropy of Repulsion Strength (is much weaker than edge-diffusion anisotropy)

M. Giesen, S. Dieluweit/Journal of Molecular Catalysis A: Chemical 216 (2004) 263



Needs more study, especially from theory perspective

Essence of *Indirect* Interactions

 Symmetry determined by mediating state[s] & by adatom-metal coupling



- Local, screened perturbation of robust substrate $\boldsymbol{\psi}$
- Oscillatory in sign; power-law decay at long range; simple form only when asymptotic & negligibly small
- Overwhelmed by any *direct* interaction at short-range
- Weaker than binding energy & diffusion barrier
- Produces correlations measurable by FIM, STM, ...
- Produces ordered 2D superlattices measurable by LEED, RHEED, grazing x-ray...



Indirect interaction via bulk vs. surface states Asymptotically $E_{pair}(d) \propto d^{-n} sin(2q_F d + 2\delta)$

- $\lambda_F / 2 \approx 2.3 \text{Å}$ [Cu]
- Anisotropic $\epsilon_n(\mathbf{k}_{\parallel})$
- Messy computation: multiple 3D bands
- Asymptotic decay envelope $\propto d^{-5} \Rightarrow$ insignificant
- Trio asymptotic $\propto d^{-7}$

- $\lambda_{F}/2 \approx 15 \text{Å} [Cu(111)]$
- Circular isotropy $\varepsilon = (\hbar k_{\parallel})^2/2m^*$
- Analytically simple: single
 parabolic 2D band
 - Asym. decay env. $\propto d^{-2}$ $\Rightarrow observable$
- Trio asymptotic $\propto d^{-5/2}$

Ripple Structures in Ag(111) Regions Confined by C_{60} : Evidence of Surface State



Topography image of ripple structures



Current image of ripple structures

204.18nm x 204.18nm, V = -1.396V, I = 0.101nA, room temp.

C. Tao & E.D. Williams

Strong, Slowly-Decaying Atom-Adchain Interaction



Asymptotic Evaluation:

$$\Delta E_{L-A}(l) \approx - \frac{\varepsilon_F}{\sqrt{\pi}} \left(\frac{2\sin(\delta_F)}{\pi}\right)^2 A(\delta_F) \left(\frac{\lambda_F/2}{a}\right) \frac{\sin(2q_F l + 2\delta_F + \pi/4)}{(q_F l)^{3/2}}$$

Redfield & Zangwill, PRB '92 TLE review '96 Deducing Chain-Atom Potential from 950⁺ STM images

J. Repp, dissertation '02



 $130\text{\AA} \times 130\text{\AA}; 0.5\text{nA}; +100\text{mV}$

32 hours, T = 12.5K





I_{perp} = 35Å, 30 hours, T =

Adchain-Adchain Interaction: Prelude to Steps?



Surface-state mediated step interaction wrecks of TWD scaling W.W. Pai, TLE, J.E. Reutt-Robey, Surf. Sci. 307-9 ('94) 747



From Chains to Steps: Complications *T. Greber*: steps as actors or spectators?



Switch from terrace to step modulation Ortega,...,Himpsel, PRL 84 ('00) 6110 ↓ on vicinal Cu(111)



vicinaldominated terracedominated

switch at $\alpha = 7^{\circ}$

Broad Implications of Surface-State Mediated Int'ns

- Distribution P(l/(l)) of terrace widths l becomes dependent on mean step spacing (l) (rather than universal form depending only on strength of l⁻² step-step repulsion). [Pai..., Surf Sci '94]
- Equilibrium crystal shape no longer scales arbitrarily with crystal size since introduction of *new length scale* λ_F.
 Pokrovsky-Talapov "critical behavior" of curved region near facet edges should be altered.
- Pair and trio interactions can affect the pathways of atoms approaching islands/clusters, enhancing or impeding growth.
- Magnetic interactions should have same periodicity as atomic interactions, but there is no obvious *a priori* reason for the phase factor δ_F to be the same, so rich behavior is possible.
- Intriguing possibilities for nanoengineering!

Facet edge vs. isolated step (or single-layer island) & vicinal surface



FPS Analysis: steps as [free] fermion world lines



Exact result for step density $\rho_{\lambda}(j) = \langle a_j^{\dagger} a_j \rangle_{\lambda}$ in terms of Bessel function J_j & deriv's Near shoreline, $\lim_{\lambda \to \infty} \lambda^{1/3} \rho_{\lambda}(\lambda^{1/3} x) = -x \operatorname{Ai}(x)^2 + \operatorname{Ai}'(x)^2$

Shoreline wandering: $\operatorname{Var}[b_{\lambda}(t) - b_{\lambda}(0)] \cong \lambda^{2/3} g(\lambda^{-2/3} t) \quad g(s): 2|s| \to 1.6264 - 2/s^2$

$$\operatorname{Var}[b_{\ell}(\ell \tau + x) - b_{\ell}(\ell \tau)] \cong (\frac{1}{2}A\ell)^{\frac{2}{3}} \left(\frac{A^{1/3}}{2^{1/3}\ell^{2/3}}x\right) \qquad \ell \sim N^{1/3} \quad \text{cf. 3-d Ising corner}$$

In scaling regime shoreline fluctuations are non-Gaussian & related to GUE multimatrix models.

$$\kappa = \frac{1}{2} (\pi \gamma_{PT} k_B T / \tilde{\beta})^2$$
 where $h = -\frac{2}{3} \gamma_{PT} (r - \rho_0)^{3/2}$ (up to lattice consts)

Heuristic extraction of dynamic/growth exponent β



A. Pimpinelli, J. Villain, et al., Surf. Sci. **295**, 143 ('93)

Isolated steps: $G(t) \equiv \left\langle \left[x(t_0+t) - x(t_0)\right]^2 \right\rangle_{t_0[, y_0]} \propto t^{2\beta} = \begin{cases} t^{1/2} & \mathrm{A} \\ t^{1/4} & \mathrm{B} \end{cases}$

- # atoms entering/leaving in t. $N(t) \approx c_{eq} L_s t / \tau^*$
- fluctuating area²: $W^2 L^2 \approx (\delta N)^2 \approx N(t)$
- Ferrari *et al.* scaling: $W \sim \underline{k}^{\alpha} \rightarrow \underline{k}^{\frac{1}{3}}$

A) Attachment-detachment limited $1/\tau^* \approx$ kinetic coef. $w \approx t^{1/5}$ or $G(t) \approx t^{2/5}$

B) Step-edge diffusion limited $1/\tau^* \approx D_{se}/L^2$ $w \approx t^{1/11}$ or $G(t) \approx t^{2/11}$

A. Pimpinelli, M. Degawa, TLE, EDW, Surface Sci. 598, L355 (2005).

Scaling approach

$$\begin{split} x(y,t) &\to \tilde{r}(\theta,t) = [r(\theta,t) - \rho_0]/\rho_0 \\ \delta\mu &= a^2 \tilde{\beta} \left(\kappa - \frac{1}{\rho_0}\right) \approx \frac{a^2 \tilde{\beta}}{\rho_0} \left(-\tilde{r}_{\theta\theta} + \frac{1}{2}\tilde{r}_{\theta}^2\right) \end{split}$$

Nonlinear KPZ term in Langevin eqns due to curvature

(or from asymmetric potential due to step neighbor on just 1 side)

$$\frac{\partial \tilde{r}(\theta, t)}{\partial t} = \left(\Gamma_{\rm AD} \dots\right) \left[\frac{\partial^2 \tilde{r}}{\partial \theta^2} - \frac{1}{2} \left(\frac{\partial \tilde{r}}{\partial \theta}\right)^2\right] + \eta(\theta, t)$$
$$\frac{\partial \tilde{r}(\theta, t)}{\partial t} = \left(\Gamma_{\rm SED} \dots\right) \left[-\frac{\partial^4 \tilde{r}}{\partial \theta^4} + \frac{1}{2} \frac{\partial^2}{\partial \theta^2} \left(\frac{\partial \tilde{r}}{\partial \theta}\right)^2\right] + \eta_C(\theta, t)$$

Dilate by b, so $\pounds' = b \pounds$, $w' = b^{\alpha} w$, $t' = b^{z} t$; equate exponents of b

Class	$\partial/\partial t$	Lin. $\nabla^{2,4}$	NL KPZ	Noise	α	z	$\beta=\alpha/z$
Isolated AD	$\alpha - z$	$\alpha - 2$	-	-(1+z)/2	1/2	2	1/4
Isolated SED	$\alpha - z$	$\alpha - 4$	-	-(3+z)/2	1/2	4	1/8
Train AD	$\alpha - z$	$\alpha - 2$	-	-(2+z)/2	0 (ln)	2	0
Asymmtr. AD	$\alpha - z$	$\alpha - 2$	$2\alpha - 2$	-(1+z)/2	1/3	5/3	1/5
Asymmtr. SED	$\alpha - z$	$\alpha - 4$	$2\alpha - 4$	-(3+z)/2	1/3	11/3	1/11

STM images (scanned, not snapshot): step & facet edge



from screw dislocation

Equilibrium fluctuations studied by F. Szalma et al. '06



STM line-scans (pseudoimages) $\langle [x(t_0+t)-x(t_0)]^2 \rangle_{t_0}$ $\equiv G(t) \propto t^{2\beta}$ $w^2 = \frac{1}{2} G(t \rightarrow \infty)$

> Facet edge (shoreline) Analyzed on next slide

Next step edge

3rd step edge

fcc metals (late trans., noble,...): mass transport by SED (B)



C₆₀ on Ag(111)





Some Take-Away Messages

- •Fermion picture is fruitful, and perhaps also seductive
- •When energetic repulsions \propto A/ ℓ^2 , TWD is independent of $\langle\ell\rangle$
- •Entropic and elastic interactions do not simply add
- •Generalized Wigner surmise is useful to analyze TWD
- •Short-range corrections to elastic interactions may lead to finitesize corrections \Rightarrow may need to do several $\langle \ell \rangle$'s (i.e., ϕ 's)
- •Interactions mediated by metallic surface states introduce new length scale that leads to dependence of TWD on $\langle \ell \rangle$, no scaling