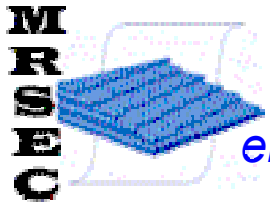


# Application of the **Wigner Distribution** to Non-equilibrium Problems at Surfaces: Relaxation, Growth, and Scaling of Capture Zone



*Ted Einstein* Physics, U. of Maryland, College Park  
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In **collaboration** with *Alberto Pimpinelli*, Hailu Gebremariam, Ajmi Bhadj-Hamouda

Exp't: E.D. Williams & J.E. Reutt-Robey (UM); M. Giesen & H. Ibach (FZ-Jülich), J.-J. Métois (Marseilles)

Development : N.C. Bartelt, O. Pierre-Louis, H.L. Richards

Coming exp'ts: B.R. Conrad

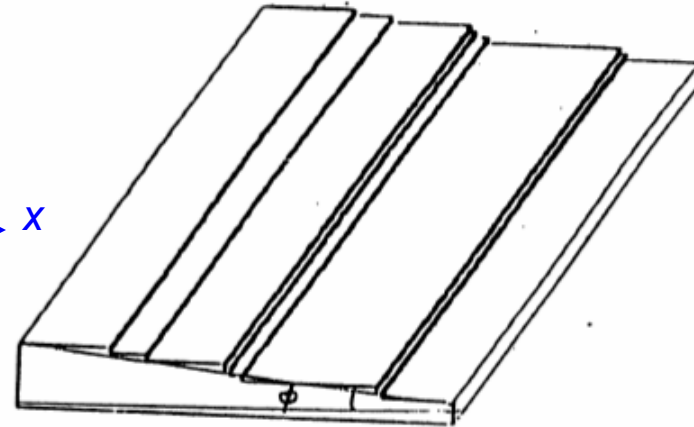
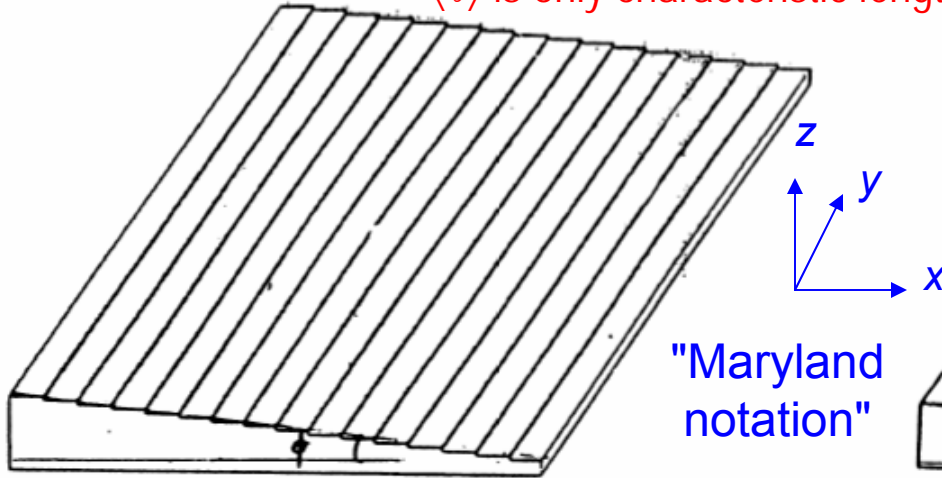
- Summary of equilibrium terrace-width distributions, **Wigner surmise (WS)** from RMT  $P_\beta(s) = a_\beta s^\beta \exp(-b_\beta s^2)$  ( $\beta = 1, 2, 4$  for ensembles with orthogonal, unitary, symplectic symmetry) and applications
- Generalization to arbitrary positive  $\beta \rightarrow \varrho$  (**GWS**), with no underlying symmetry; applications to surface problems, with  $\varrho$  related to step repulsion strength
- Fokker-Planck formulation: study of relaxation to equilibrium & way to get GWS
- Apparent narrowing during growth
- Remarkable progress in characterization of island growth by focusing on capture-zone distribution – GWS with  $\varrho = i + 1$  [or  $2(i+1)$  in 1D]

# Terrace-Width Distribution $P(s)$ for Special Cases

"Perfect Staircase"  $l = \langle l \rangle \equiv 1/\tan \phi$   $s \equiv l / \langle l \rangle$

$\langle l \rangle$  is only characteristic length in  $x$

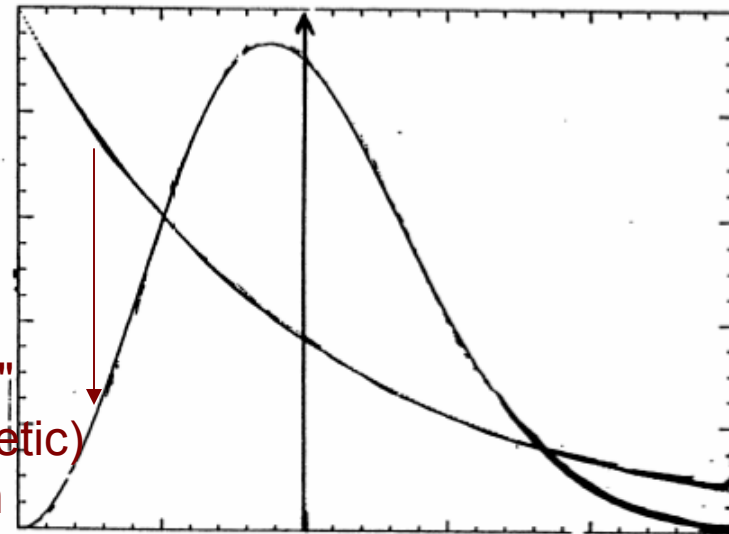
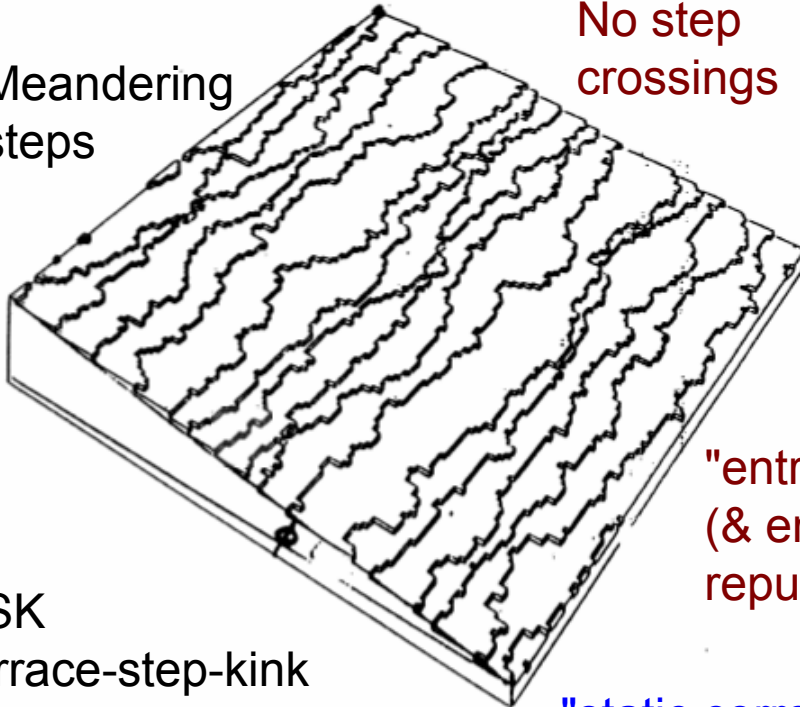
Straight steps, randomly placed  
Geometric distribution:  $P(s) = e^{-s}$



Meandering steps

No step crossings

Scaled TWD:  $P(s)$  indep. of  $\langle l \rangle$



"entropic" (& energetic) repulsion

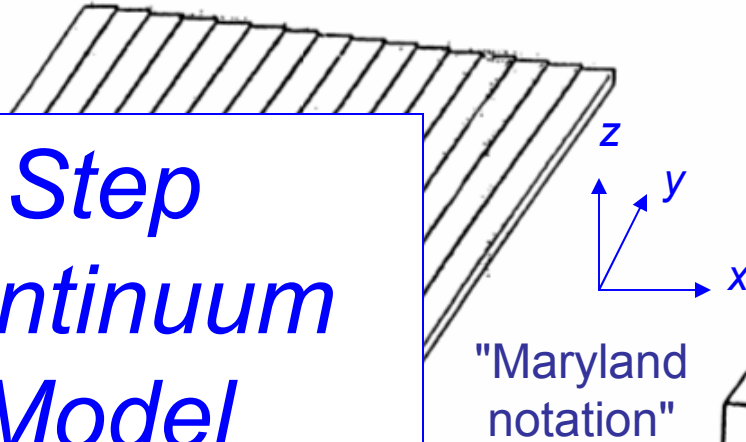
TSK  
terrace-step-kink  
kink energy  $\varepsilon$

"static correlation"  $\langle x_n(y) - x_{n-1}(y) - \langle l \rangle \rangle_{y,n}$

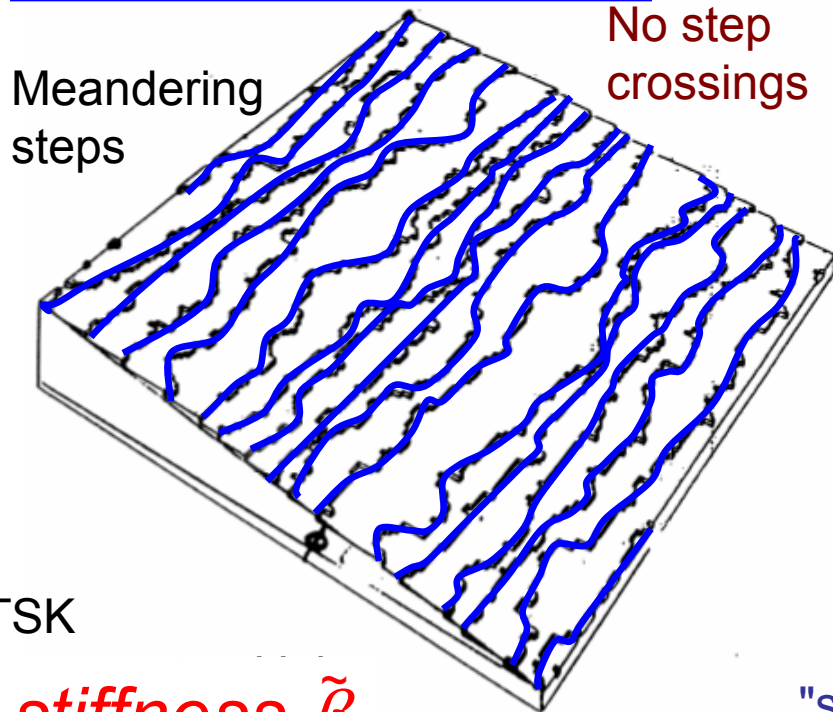
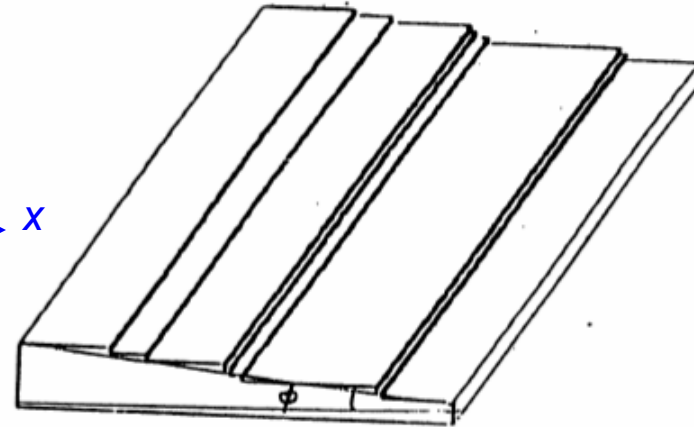
# Terrace-Width Distribution $P(s)$ for Special Cases

"Perfect Staircase"  $\ell = \langle \ell \rangle \equiv 1/\tan \phi$   $s \equiv \ell / \langle \ell \rangle$

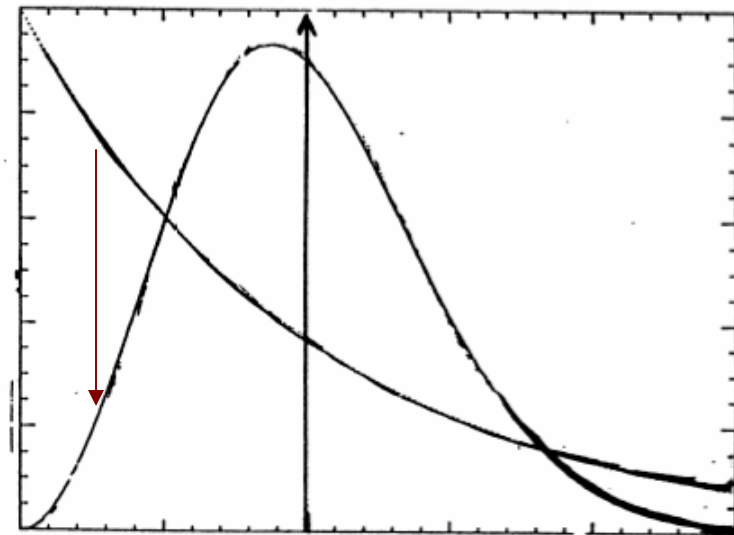
**Step  
Continuum  
Model**



Straight steps, randomly placed  
Geometric distribution:  $P(s) = e^{-s}$



Scaled TWD:  $P(s)$  indep. of  $\langle \ell \rangle$



TSK

**stiffness  $\tilde{\beta}$**

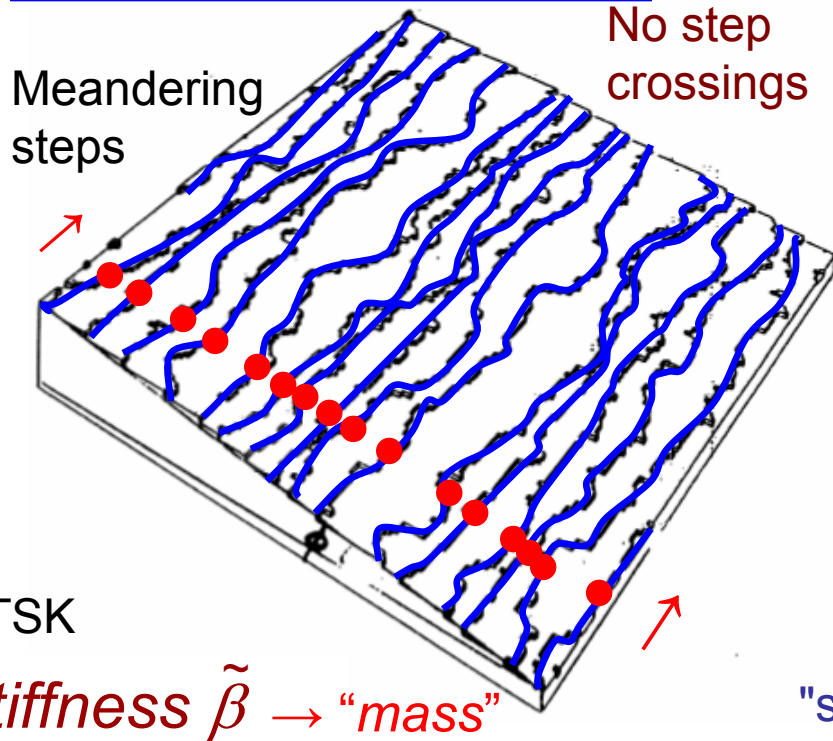
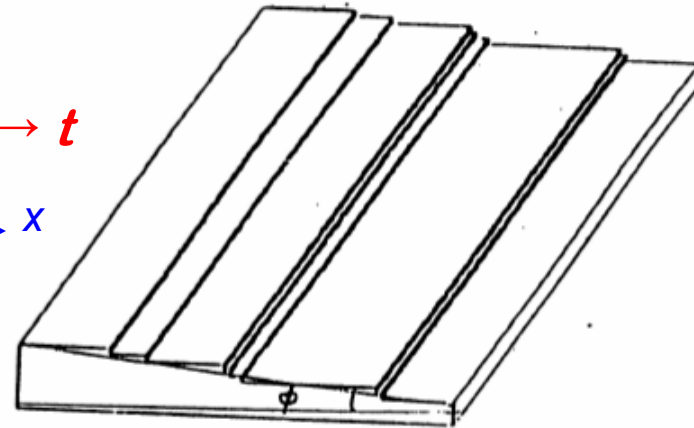
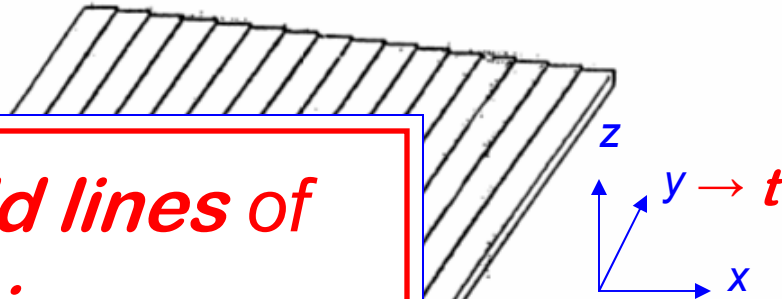
"static correlation"  $\langle x_n(y) - x_{n-1}(y) \rangle_{y,n}^2 - \langle \ell \rangle^2$

# Terrace-Width Distribution $P(s)$ for Special Cases

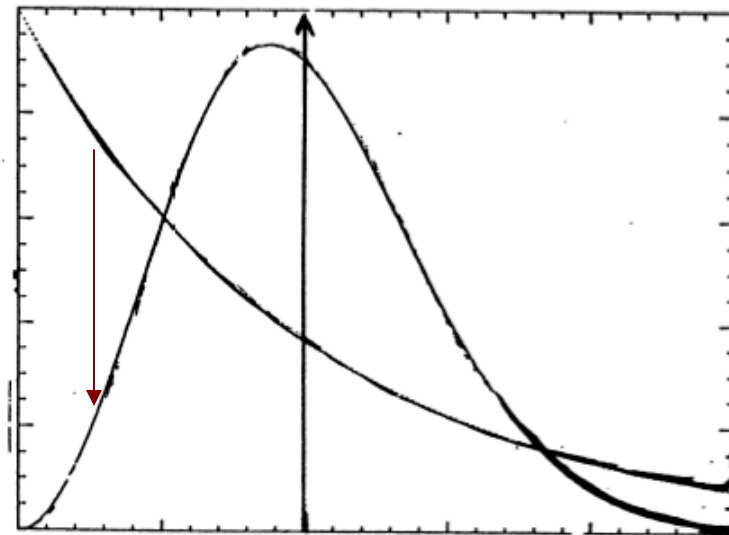
"Perfect Staircase"  $\ell = \langle \ell \rangle \equiv 1/\tan \phi$   $s \equiv \ell / \langle \ell \rangle$

Straight steps, randomly placed  
Geometric distribution:  $P(s) = e^{-s}$

*World lines of fermions evolving in 1D*

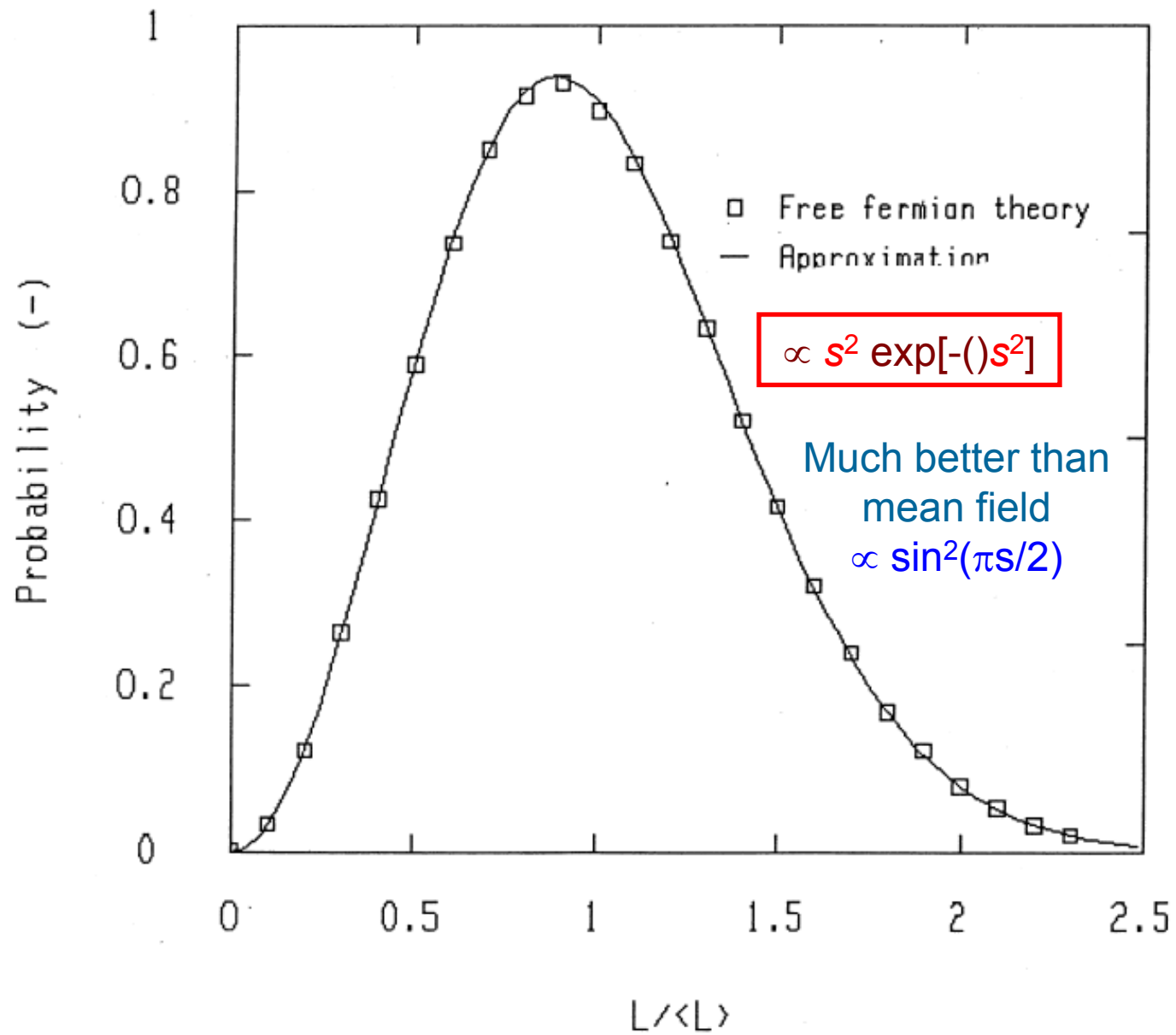


Scaled TWD:  $P(s)$  indep. of  $\langle \ell \rangle$



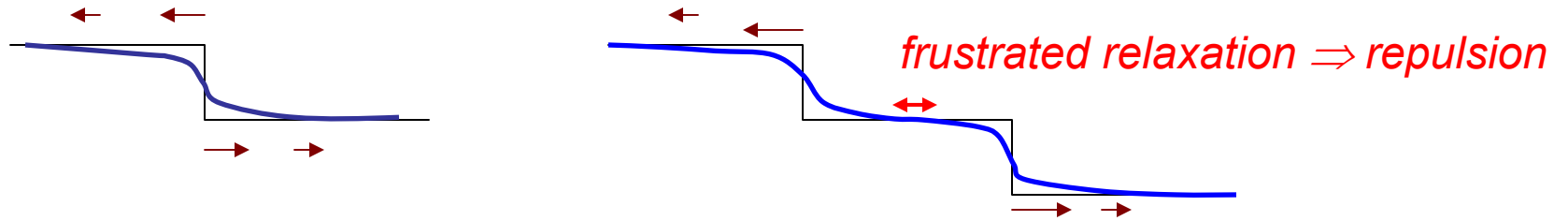
"static correlation"  $\langle x_n(y) - x_{n-1}(y) \rangle_{y,n}^2 - \langle \ell \rangle^2$

Deduced & drawn by Harald Ibach (while on sabbatical at UM, spring 1997?)



# Origin of elastic (dipolar) step repulsions

- Frustration of relaxation of terrace atoms between steps



- Energy/length:  $U(\ell) = A/\ell^2$  (Same  $y$  for points on two interacting steps separated by  $\ell$  along  $x \Rightarrow$  "instantaneous")
- Metallic surface states  $\Rightarrow$  added oscillatory term in  $U$ :  $(B/\ell^2) \cos(2k_F \ell + \phi)$
- Elastic and entropic repulsions  $\propto \ell^{-2}$   
 $\Rightarrow$  universality of  $\langle \ell \rangle^{-1} P(\ell)$  vs.  $s \equiv \ell / \langle \ell \rangle$  so  $P(s; \langle \ell \rangle) \rightarrow P(s)$  *scaling*

## Physical Ideas Behind Application of Random Matrices

cf. T. Guhr, A. Müller-Groeling, H. A. Weidenmüller, Phys. Reports 299 ('98) 189 [cond-mat/97073]

Standard stat mech: ensemble of identical physical systems with same Hamiltonian but different initial conditions; Wigner: ensemble of dynamical systems governed by different H's with some common symmetry property, seeking generic properties of ensemble due to symmetry.

Dyson, using group-theory results from Wigner, showed 3 generic ensembles:

1) time-reversal invariant with rotational symmetry:

$$H_{mn} = H_{nm} = H^*_{mn} \text{ (orthogonal)}$$

2) time reversal violated (e.g. electron in fixed  $\mathbf{B}$ )

$$H_{mn} = H^\dagger_{mn} \text{ (unitary)}$$

3) time-reversal invariant with 1/2-integer spin & broken rotational symmetry;

$$H^{(0)}_{mn} \mathbf{I} - i \sum_j H^{(j)}_{mn} \sigma_j \text{ (symplectic)}$$

$\sigma_j$ : Pauli spin matrices,  $j=1,2,3$ ;  $\mathbf{I}$ :  $2 \times 2$  unit matrix;  $H^{(0)}$  all real,  $H^{(0)}$  sym, others asym

Wigner: for convenience, Gaussian weights  $P(H) \propto \exp[-(\beta N/\lambda^2) \text{tr } H^2]$

Gaussian Orthogonal Ensemble:  $\beta=1$     Gaussian Unitary Ensemble:  $\beta=2$     Gaussian Symplectic Ensemble:  $\beta=4$

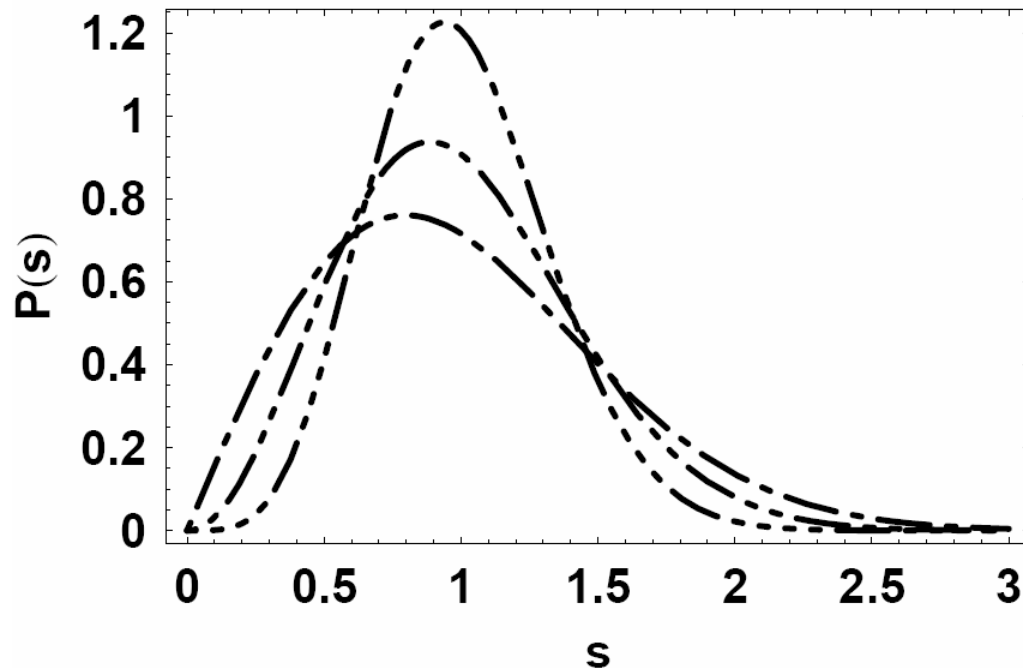
GRMT useless for average quantities, but fluctuations for large number of levels becomes independent of the form of the level spectrum and of the Gaussian weight factors, and attains

# Wigner Surmise (WS)

$$\text{O} \quad P_1(s) = \frac{\pi}{2} s \exp\left(-\frac{\pi}{4} s^2\right)$$

$$\text{U} \quad P_2(s) = \frac{32}{\pi^2} s^2 \exp\left(-\frac{4}{\pi} s^2\right)$$

$$\text{S} \quad P_4(s) = \left(\frac{64}{9\pi}\right)^3 s^4 \exp\left(-\frac{64}{9\pi} s^2\right)$$



$$P_\beta(s) = a_\beta s^\beta \exp(-b_\beta s^2)$$



Wigner's argument for the surmise, for the orthogonal ensemble

$$p(\mathcal{H}) \sim \exp[-bN \operatorname{tr}(\mathcal{H}^2)] \quad N \rightarrow \infty ; \text{ using Gaussian weighting}$$

Instead, consider  $N=2$ , orthogonal symmetry: 
$$H = \begin{pmatrix} h_{11} & h_{12} \\ h_{12} & h_{22} \end{pmatrix}$$

joint probability distribution  $p(E_1, E_2)$  for adjacent eigenenergies  $E_1, E_2$  to  $P(s)ds$

$$\bar{h} \equiv (h_{11} + h_{22})/2 \quad u \equiv h_{11} - h_{22} \quad s \equiv (u^2 + 4h_{12}^2)^{1/2} = |E_2 - E_1| \quad \text{so} \quad E_{1,2} = \bar{h} \pm s/2$$

Consider all possible MEs  $h_{11}, h_{22}, h_{12}$

$$\iiint p dh_{11} dh_{22} dh_{12} = \int ds \underbrace{\iint \exp[-2b(E_1^2 + E_2^2)] d\bar{h} du}_{p(s)} \left| \frac{dh_{12}}{ds} \right| \quad \text{using} \quad dh_{11} dh_{22} = d\bar{h} du$$

$$h_{12} = \pm(1/2)(s^2 - u^2)^{1/2} \Rightarrow |dh_{12}/ds| = (s/2)(s^2 - u^2)^{-1/2}$$

$$(s/2) \int_{-s}^s (s^2 - u^2)^{-1/2} du = \pi s/2 \quad 2(E_1^2 + E_2^2) = s^2 + 4\bar{h}^2$$

$$P(s) \sim s \exp(-bs^2)$$

Exact for  $N = 2$  and excellent approximation as  $N \rightarrow \infty$ . For large  $N$ , the problem of level crossing ( $s \rightarrow 0$ ) still reduces to a  $2 \times 2$  problem near the (usually avoided) degeneracy.

To get  $s = 0$ ,  $u$  and  $h_{12}$  must vanish simultaneously.

$$p(s) = \int du \int dh_{12} p(u, h_{12}) \delta\left(s - (u^2 + 4h_{12}^2)^{1/2}\right) \sim s, \quad s \ll 1$$

**GUE** (Gaussian unitary ensemble):  $H$  is hermitean, so that  $h_{12}$  is complex, and *three parameters must vanish simultaneously to get  $s = 0$* :

$$s = \left[ (h_{11} - h_{22})^2 + 4(\Re h_{12})^2 + 4(\Im h_{12})^2 \right]^{1/2}$$

Hence,  $p(s) \sim s^2$ , corresponding to a spherical (rather than circular) shell of radius  $s$  in parameter space.

From W. Zwerger, "Theory of Coherent Transport," in T. Dittrich, . . . , W. Zwerger, Quantum Transport and Dissipation (Wiley-VCH, Weinheim, 1998), chap. 1

1957: Wigner surmise for  $\beta=1$ :  $p_1(s) = a_1 s^1 \exp(-b_1 s^2)$ , where  $p$  is the distribution function of nearest-neighbor energy levels, with  $s$  the real spacing over the [local] mean

1960-62: Dyson: circular ensembles: CircularOE, CUE, CSE; NN unitary matrices, eigenvalues  $\exp[i\theta_\mu]$ ,  $\mu=1,\dots,N$

N-particle Coulomb gas on a circle (i.e. in 1D), with [shifted] inverse temperature  $\beta$

Major ingredient: von Neumann–Wigner level repulsion: 2 states connected by a non-vanishing matrix element repel each other—degree of repulsion is determined by symmetry of Hamiltonian—"A simple counting argument leads directly to the exponent  $\beta = 1, 2, 4$  in the typical factor  $|E_\mu - E_\nu|^\beta$  in the Vandermonde determinant."

Sutherland Hamiltonian for N particles (spinless fermions) on a circle:

$$-\frac{\hbar^2}{2m} \left[ \sum_{i=1}^N \frac{\partial^2}{\partial \lambda_i^2} - \frac{\beta}{2} (\beta - 2) \left( \frac{\pi}{N} \right)^2 \sum_{i < j} \frac{1}{\sin^2 \left\{ \pi (\lambda_i - \lambda_j) / N \right\}} \right]$$

Application to specific step system: M. Lässig, "Vicinal Surfaces and the Calogero–Sutherland Model," Phys. Rev. Lett. 77 ('96) 526, for Song & Mochrie's observation of tricritical behavior on vicinal Si (113)

#### OTHER APPLICATIONS of RMT

Localization theory--ensemble of impurity potentials

Clarifies various regimes in mesoscopic physics: clean, ballistic, ergodic, diffusive, critical, localized

Transport in quasi-1D wires

Fluctuations of persistent currents (esp. for non-interacting electrons)

Level spectra of small metallic particles & their response to EM field

Atomic nuclei, atoms and molecules

Classical chaos (e.g. Bunimovich stadium, Sinai billiard)

QCD, supersymmetry 2D quantum gravity

## Examples of NN spacing distributions with GOE ( $\rho = 1$ )

Fig. 1. Nearest-neighbor spacing distribution for the “Nuclear Data Ensemble” comprising 1726 spacings (histogram) versus  $s = S/D$  with  $D$  the mean level spacing and  $S$  the actual spacing. For comparison, the RMT prediction labelled GOE and the result for a Poisson distribution are also shown as solid lines. Taken from Ref. [1].

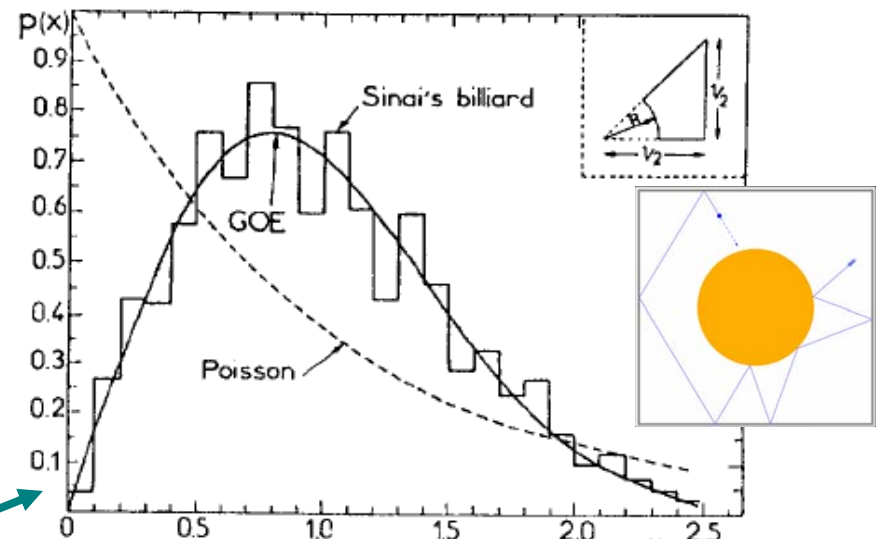
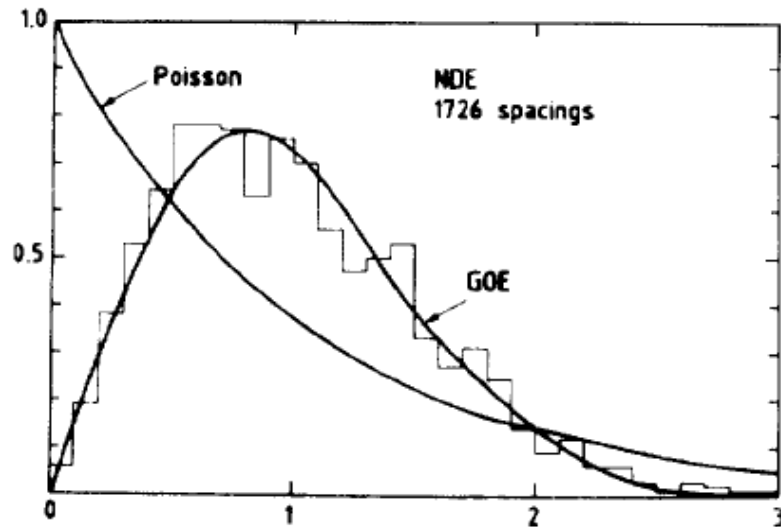


Fig. 4. The nearest-neighbor spacing distribution versus  $s$  (defined as in Fig. 1) for the Sinai's billiard. The histogram comprises about 1000 consecutive eigenvalues. Taken from Ref. [5].

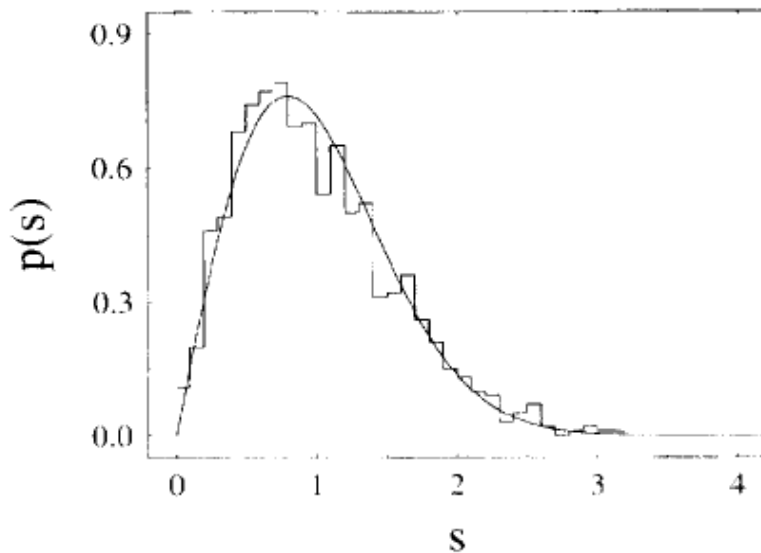
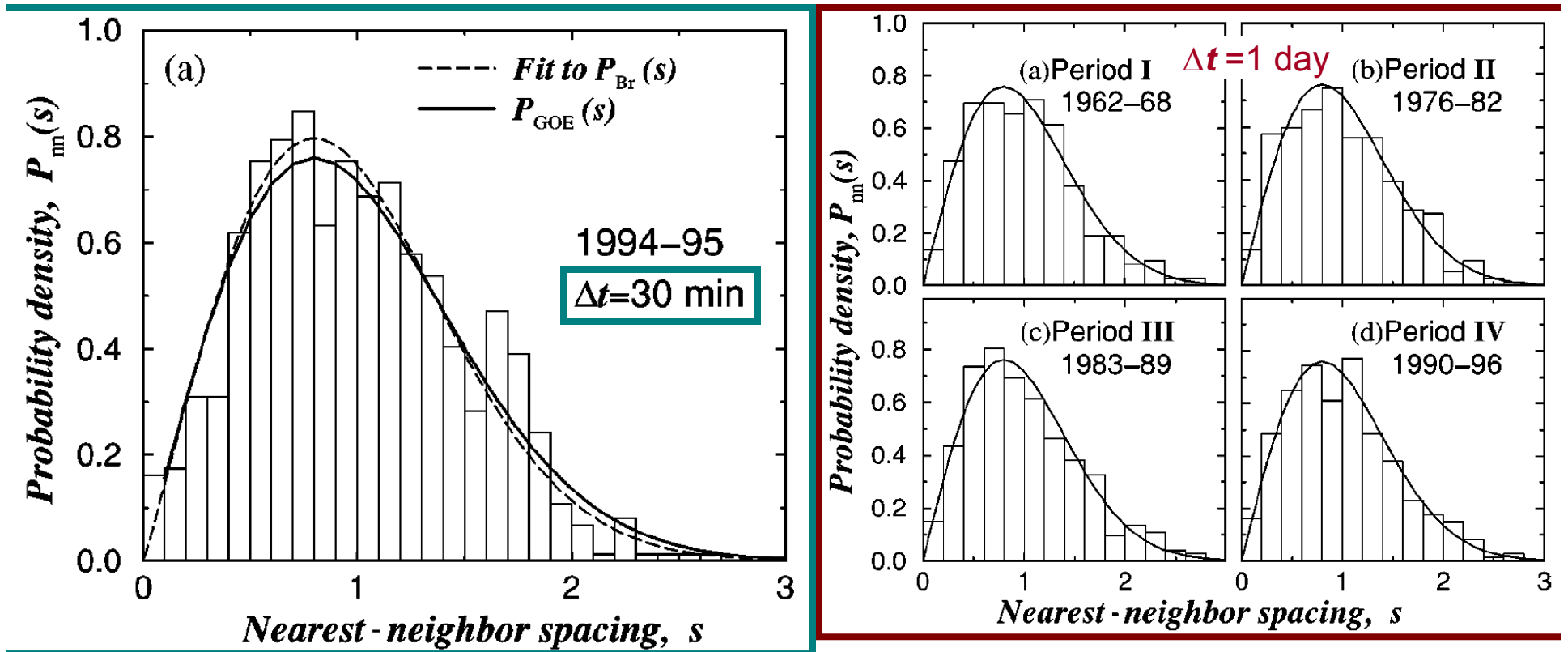


Fig. 6. Nearest-neighbor spacing distribution for elastomechanical modes in an irregularly shaped quartz crystal.

*T. Guhr et al. / Physics Reports 299 (1998) 189*

# RMT & financial data: Cross-correlations of price fluctuations of different stocks, using $P_1(s)$

V. Plerou, ..., T. Guhr, and H. E. Stanley, PRE 66 ('02) 066126

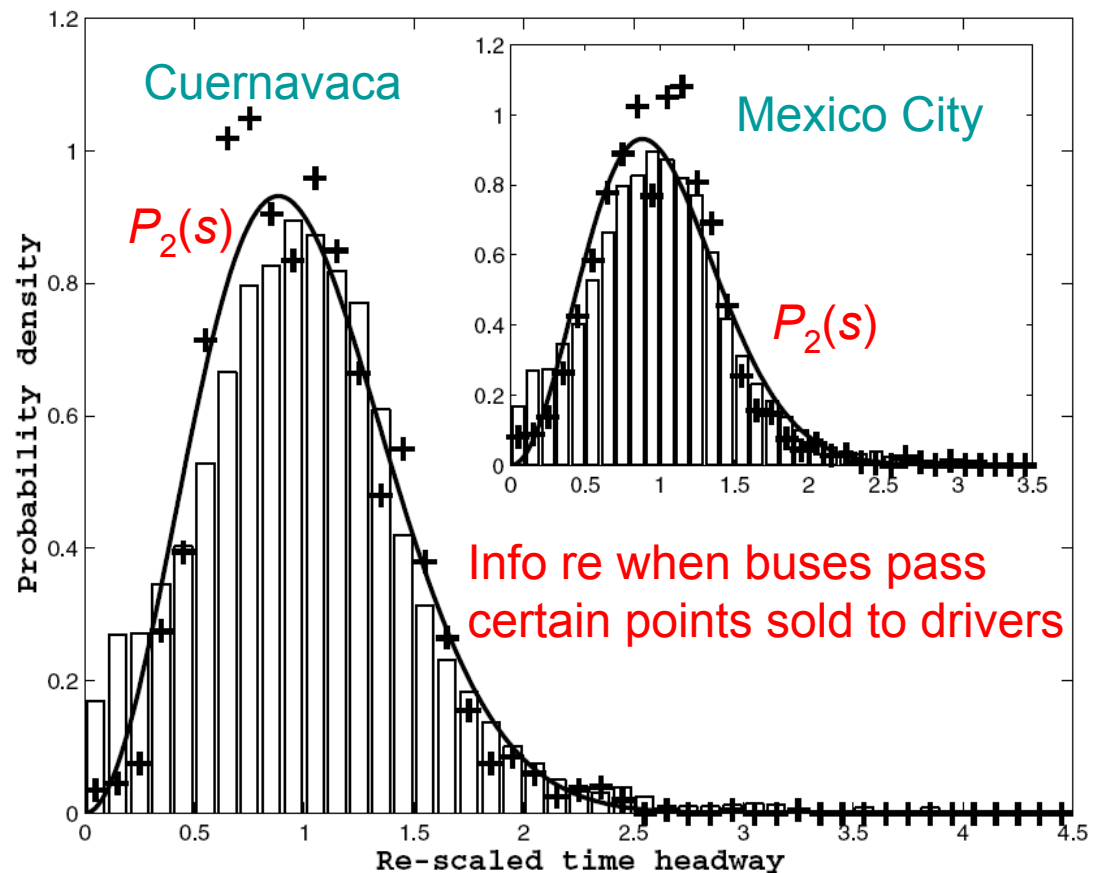
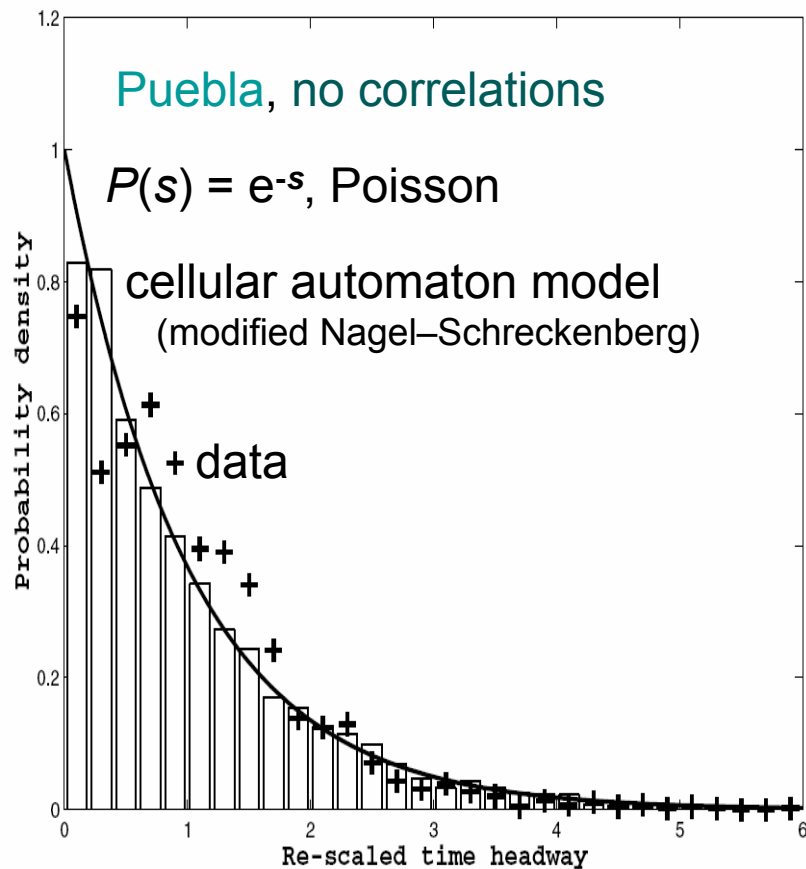


# Headway statistics of buses in Mexican cities, using $P_2(s)$

M. Krbálek & P. Šeba, J. Phys. A **36** ('03) L7; **33** ('00) L229

Headway: time interval  $\Delta t$  between bus and next bus passing the same point

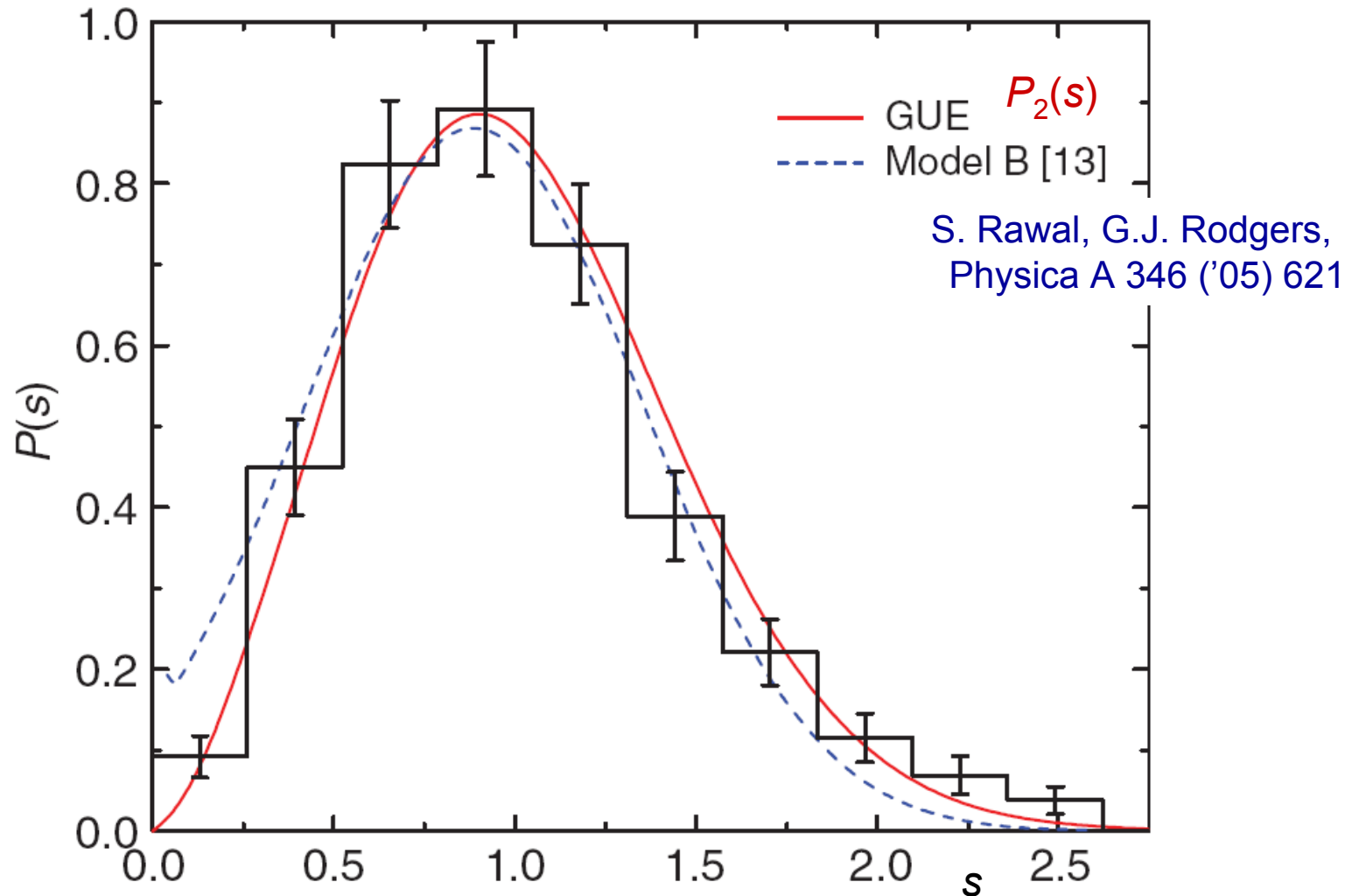
No timetable for buses in Mexico; independent drivers seek to optimize # riders/fares



WS  $P_2(s)$  better than CA because in CA, correlations only between NNs

# Modelling gap-size distribution of parked cars using RMT

*A.Y. Abul-Magd*, Physica A 368 ('06) 536



Unlike random sequential process, Coulomb gas extends repulsion beyond geometric size.

# What about $\rho$ other than 1,2,4?

Mixed states of different symmetry; Brody distribution, etc.

Recent noteworthy illustration: zeroes of Riemann  $\zeta$   
 A. Pimpinelli, J. Phys. A, in press

$$\zeta(z) = \sum_{k=1}^{\infty} \frac{1}{k^z}$$

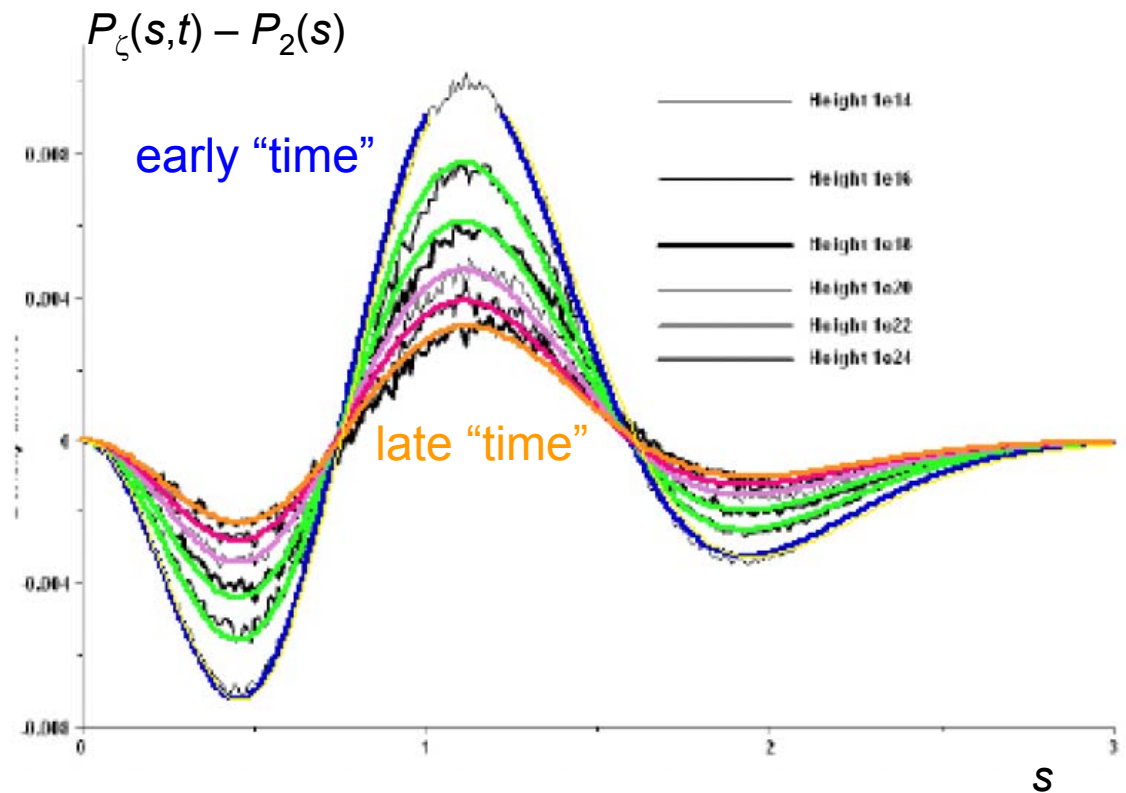
Zeroes  $\zeta(z_n)$  at  $z_n = 1/2 + iT_n$

$$t = \sqrt{\log_{10} T}$$

$s \propto z_{m+1} - z_m$  starting at  $t$

Conjecture:

$$P_{\zeta}(s, t(T)) = P_{GSE+GUE \rightarrow GUE}(s, t(T))$$

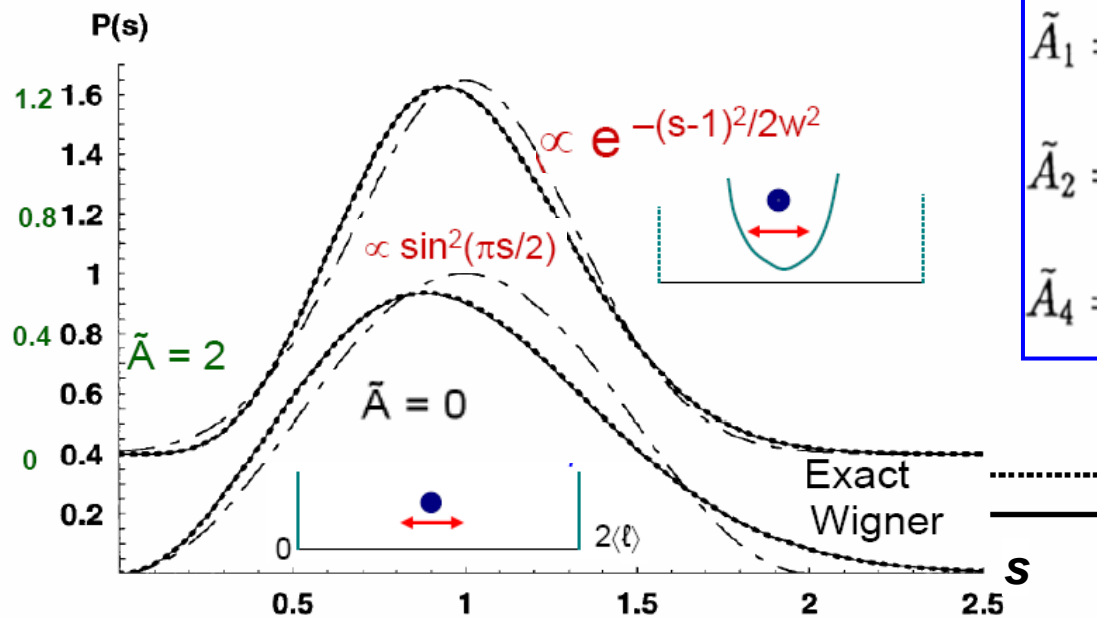


$$P_{\zeta}(s, t(T)) = P_{GSE+GUE \rightarrow GUE}(s, t(T)) = P_{MF}(s, t) + O(\exp(-2t))$$

$$\approx P_2(s) + \kappa\{[P_4(s) - P_2(s)] \exp(-t)\} + O(\exp(-2t))$$



# Wigner Surmise (WS) for TWD (terrace-width distribution)



$$\begin{aligned} \tilde{A}_1 = -1/4 : & \quad P_1(s) = \frac{\pi}{2} s \exp\left(-\frac{\pi}{4} s^2\right) \\ \tilde{A}_2 = 0 : & \quad P_2(s) = \frac{32}{\pi^2} s^2 \exp\left(-\frac{4}{\pi} s^2\right) \\ \tilde{A}_4 = 2 : & \quad P_4(s) = \left(\frac{64}{9\pi}\right)^3 s^4 \exp\left(-\frac{64}{9\pi} s^2\right) \end{aligned}$$

$$U(\ell) = A/\ell^2$$

$$\tilde{A} \equiv \frac{\tilde{\beta} A}{(k_B T)^2}$$

Generalizing from the special cases:

**WS → GWS**

- The three special cases correspond to  $\varrho = 1, 2,$  and  $4$ .

- $\tilde{A}$  and  $\varrho$  are related by:  $\tilde{A} = (\varrho - 2)\varrho/4$ ;  $\varrho = 1 + \sqrt{1 + 4\tilde{A}}$

- Simplest interpolation expression:  $P_\varrho(s) = a_\varrho s^\varrho \exp(-b_\varrho s^2)$

- Two conditions on  $P_\varrho(s)$ : normalization & unit mean  
 $\Rightarrow$  values of  $a_\varrho, b_\varrho$  (in terms of  $\Gamma$  functions),

Comparison of variance of  $P(s)$  vs.  $\bar{A}$  computed with Monte Carlo:  
**GWS** does **better**, quantitatively & conceptually, than any other approximation

Hailu Gebremariam et al., Phys. Rev. B 69 ('04)125404

## Experiments measuring variances of TWDs

Vicinal	$T$ (K)	$\sigma^2$	$\rho$	$\bar{A}$	$A_W/A_G$	$A_W$ (eV Å)	Experimenters
Pt(1 1 0)-(1 × 2)	298		2.2	0.13	–	$\bar{\beta} = ?$	Swamy, Bertel [36]
Cu(1 9, 17, 17)	353	0.122	4.1	2.2	0.77	0.005	Geisen [5,54]
Si(1 1 1)	1173	0.11	3.8	1.7	0.96	0.4	Bermond, Métois [55]
Cu(1, 1, 13)	348	0.091	4.8	3.0	1.27	0.007	Giesen [5,56]
Cu(11,7,7)	306	0.085	5.1	4	1.37	0.004	Geisen [5,54]
Cu(1 1 1)	313	0.084	5.0	3.6	1.39	0.004	Geisen [5,54]
Cu(1 1 1)	301	0.073	6.0	6.0	1.58	0.006	Geisen [5,54]
Ag(1 0 0)	300	0.073	6.4	6.9	1.58	$\bar{\beta} = ?$	P. Wang...Williams
Cu(1, 1, 19)	320	0.070	6.7	7.9	1.64	0.012	Geisen [5,56]
Si(1 1 1)-(7 × 7)	1100	0.068	6.4	7.0	1.67	0.7	Williams [57]
Si(1 1 1)-(1 × 1)Br	853	0.068	6.4	7.0	1.67	0.1	X.-S. Wang, Williams [58]
Si(1 1 1)-Ga	823	0.068	6.6	7.6	1.67	1.8	Fujita...Ichikawa [59]
Si(1 1 1)-Al $\sqrt{3}$	1040	0.058	7.6	10.5	1.85	2.2	Schwennicke...Williams [60]
Cu(1, 1, 11)	300	0.053	8.7	15	1.95	0.02	Barbier et al. [21]
Cu(1, 1, 13)	285	0.044	10	20	2.12	0.02	Geisen [5,56]
Pt(1 1 1)	900	0.020	24	135	2.59	6	Hahn...Kern [61]
Si(1 1 3) rotated	1200	0.004	124	$3.8 \times 10^3$	2.92	$(27 \pm 5) \times 10^2$	van Dijken, Zandvliet, Poel-sema [9]

# Why Look for Fokker-Planck Equation for TWD?

- Justification/derivation of generalized continuum Wigner surmise (beyond  $H_{\text{eff}}$  of Richards et al.) since no symmetry basis for  $\varrho \neq 1, 2, \text{ or } 4$
- Dynamics: how non-equilibrium TWD (e.g. step bunch) evolves toward equilibrium
- Quench or upquench: sudden change of  $T$  does not change  $A$  much but changes  $\tilde{A}$  (and so  $\varrho$ ) considerably
- Connections with other problems, e.g. capture zone distribution (& Heston model of econophysics)

## Derivation of Fokker-Planck for TWD

- Start with Dyson Coulomb gas/Brownian motion model: repulsions  $\propto 1/(\text{separation})$  & parabolic well

$$\dot{x}_i = -\gamma x_i + \sum_{i \neq j} \frac{\hat{\rho}}{x_i - x_j} + \sqrt{\Gamma} \eta$$

- Assume steps beyond nearest neighbors are at integer times mean spacing (cf. Gruber-Mullins)

$$\dot{s} = -\kappa s + \rho/s + \text{noise}$$

*Noise sets time scale.*

$$\tilde{t} \equiv t \Gamma / \langle \ell \rangle^2 \quad 1/\tau$$

- Demand self-consistency for width of parabolic confining well:  $\kappa \rightarrow 2b_\rho$

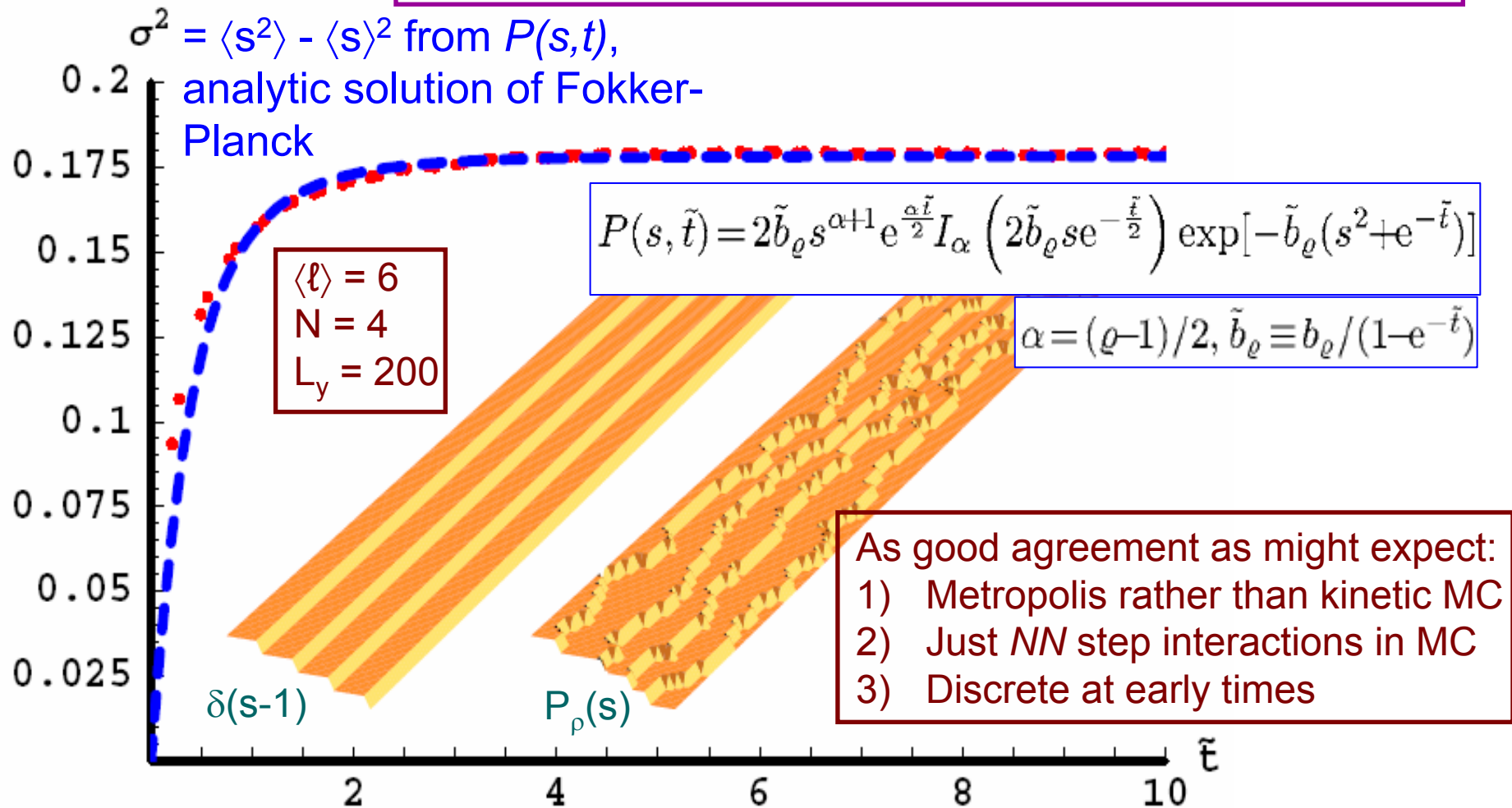
$$\frac{\partial P(s, \tilde{t})}{\partial \tilde{t}} = \frac{\partial}{\partial s} \left[ \left( 2b_\rho s - \frac{\rho}{s} \right) P(s, \tilde{t}) \right] + \frac{\partial^2}{\partial s^2} [P(s, \tilde{t})] \rightarrow P_\rho(s)$$

# Check of Fokker-Planck with Monte Carlo

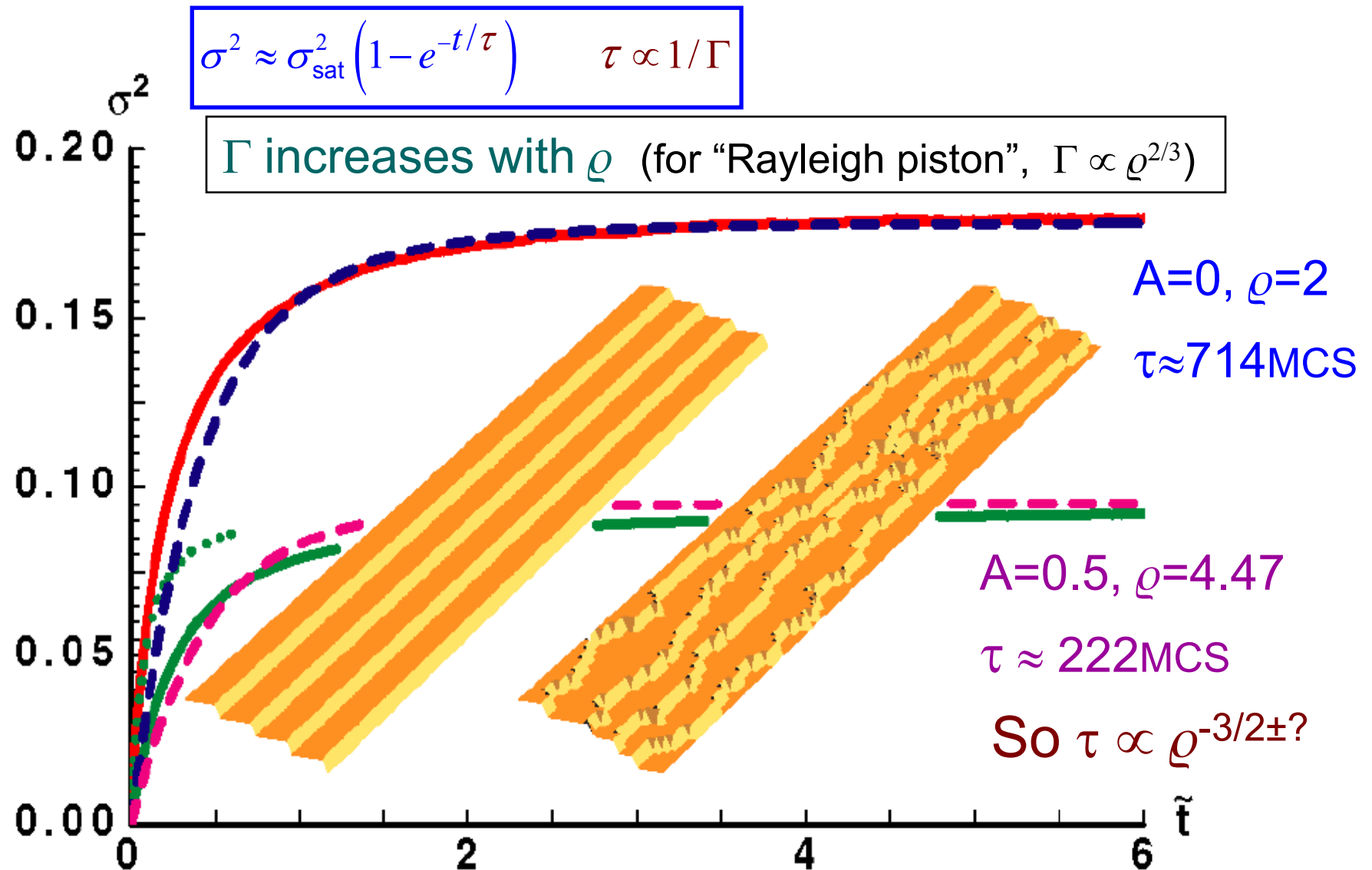
cleaved  $\rightarrow$  equilibrium

TSK model (no adatom carriers)

Best match for 1.4 FP time units =  $10^3$  MCS

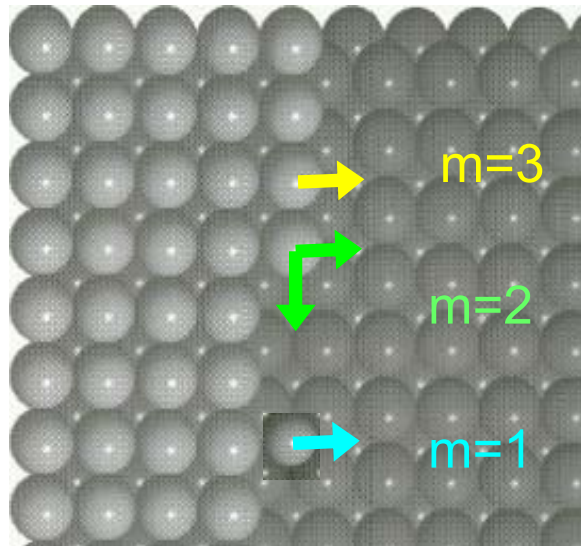


# Fokker-Planck vs. Monte Carlo: Effect of Step-step Repulsions



Qualitative result:  $\tau$  decreases as repulsion rises

# Improved tests: Kinetic MC & SOS model

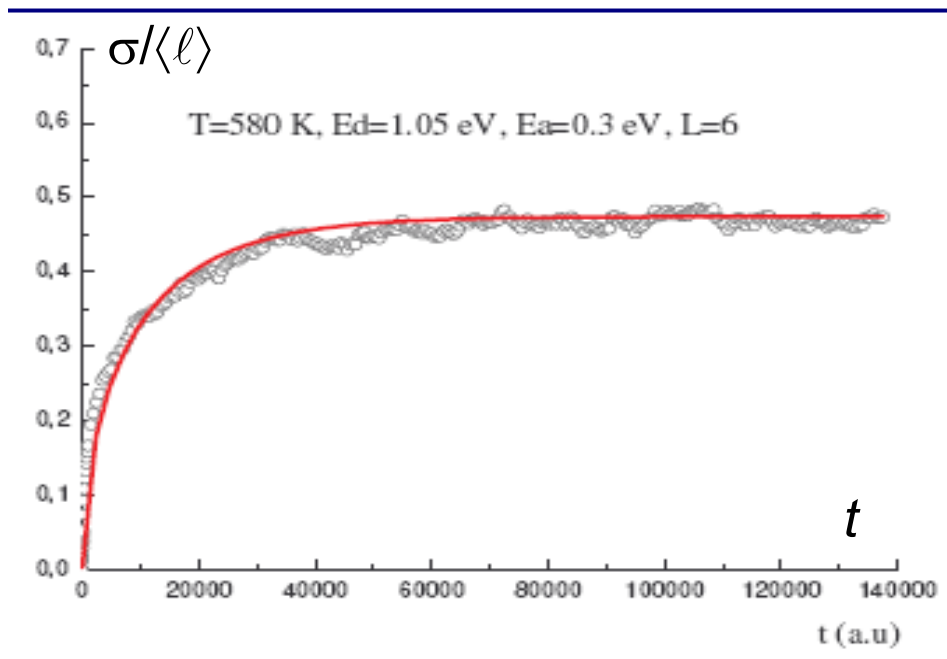


$$E_{\text{barrier}} = E_d + m E_a \quad \text{breaking } m \text{ bonds}$$

$$E_d = 0.9 - 1.1 \text{ eV}; E_a = 0.3 - 0.4 \text{ eV}$$

$$T = 520 - 580 \text{ K}$$

$$\langle \ell \rangle = 4-15, 5 \text{ steps}, 10000 \times L_x$$



Fit:

$$\sigma(t) = \sigma_{\text{sat}} \sqrt{1 - \exp(-t / \tau)}$$

Expect  $\tau \propto \exp(E_{\text{barrier}} / k_B T)$

$$\text{Find } E_{\text{barrier}} \approx 1 E_d + 3 E_a$$

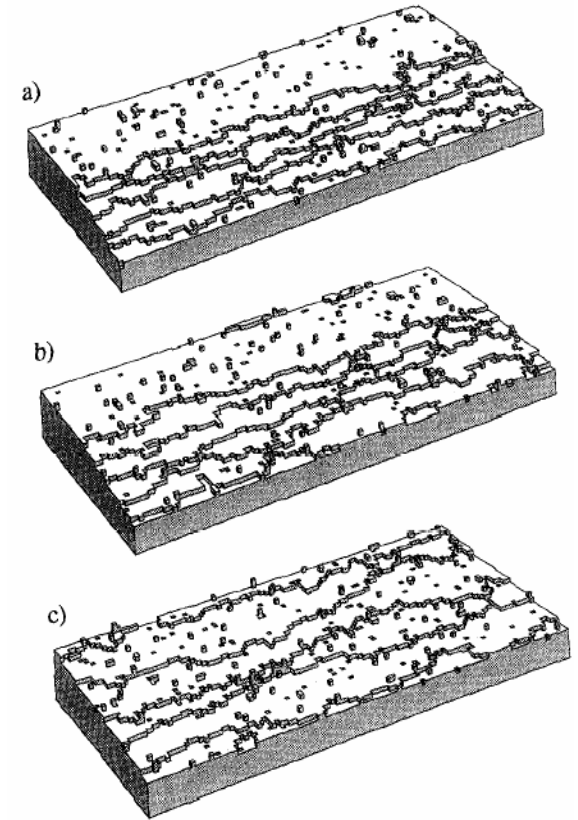
Behavior of  $\tau$  in SOS via KMC: Ramp  $E_d$ ,  $E_a$ ,  $T$ ,  $\langle \ell \rangle$

Unpublished; please write for preprint!



## 2 other situations of interest

**Step Bunch:** initially a delta function



$$P(s, \tilde{t}) \rightarrow \frac{a_{\varrho} s^{\varrho}}{(1 - e^{-\tilde{t}})^{(\varrho+1)/2}} \exp[-s^2 b_{\varrho} / (1 - e^{-\tilde{t}})]$$

**Quench or upquench:** change from initial  $\rho_0$  to  $\rho$ , e.g. change in temperature

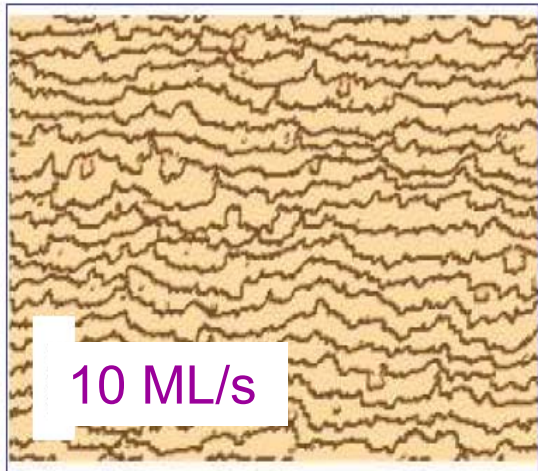
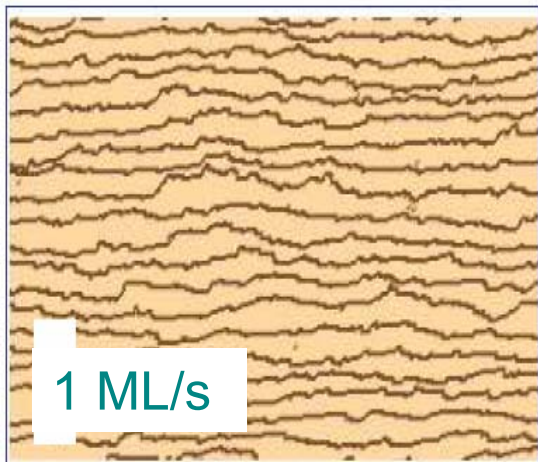
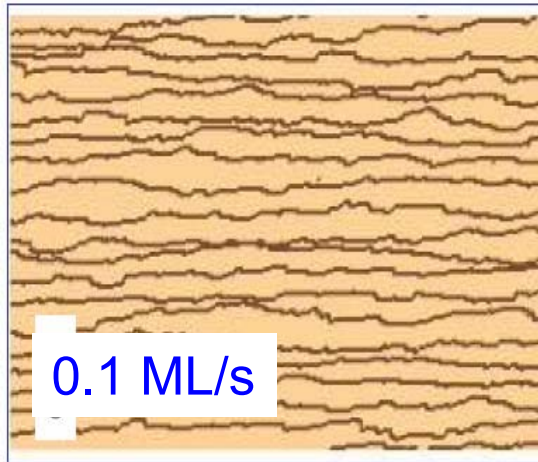
$$P(s, \tilde{t}) = \underbrace{a_{\varrho} s^{\varrho} e^{-\tilde{b}_{\varrho} s^2}}_{\text{Final}} \frac{(1 - e^{-\tilde{t}})^{\frac{\varrho_0 - \varrho}{2}}}{(1 - e^{-\tilde{t}}(1 - b_{\varrho}/b_{\varrho_0}))^{\frac{\varrho_0 + 1}{2}}} {}_1F_1 \left( \frac{\varrho_0 + 1}{2}, \frac{\varrho + 1}{2}, \frac{\tilde{b}_{\varrho} s^2}{1 + (b_{\varrho_0}/b_{\varrho})(e^{\tilde{t}} - 1)} \right)$$

Final

## Does growth flux (step motion) alter TWD?

Test: *no* energetic interaction ( $\rho=2$ ), 150 ML

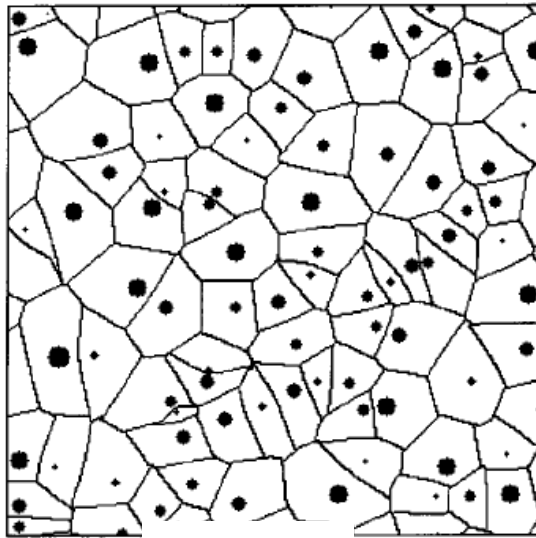
Unpublished; please write for preprint!



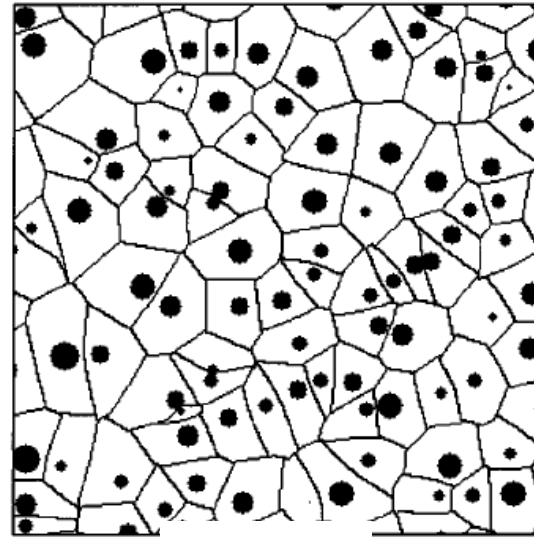
- Narrower  $\Rightarrow$  *effective* repulsion that rises with flux, higher  $\rho$ , more Gaussian-like
- Decreased apparent stiffness  $\tilde{\beta}$

# Evolution of Island Structures: Simulations of $i=1$

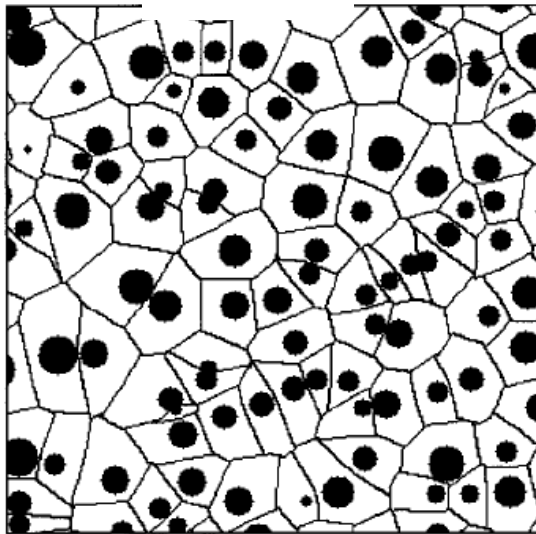
## *Circular Islands* Mulheran & Blackman, PRB 53 (96) 10261



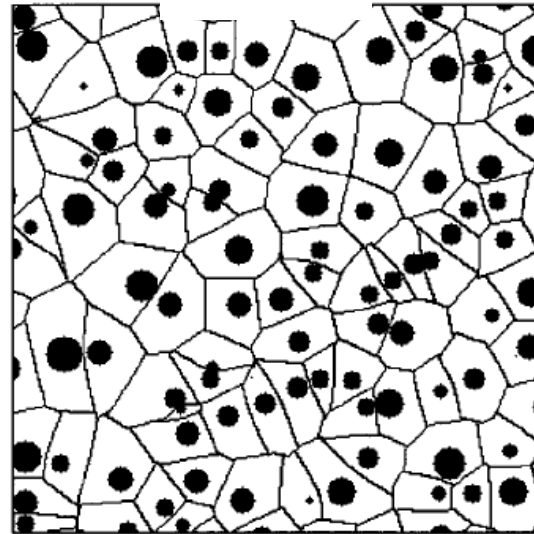
0.05 ML



0.10 ML

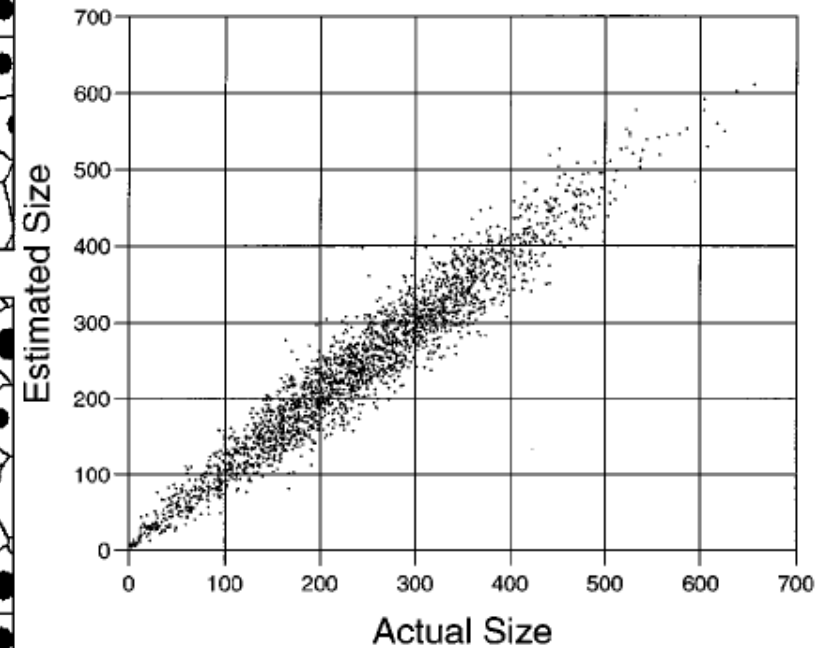


0.15 ML



0.20 ML

Estimated size of island based on Voronoi polygon  $CZ \propto$  actual size of island



# Island Size Scaling, stable config $i$

Amar & Family, PRL 74 (95) 2066

## Dynamic scaling assumption

$$N_s(\theta) = \theta S^{-2} f_i(s/S)$$

Bartelt  
& Evans

$$f_i(u) = C_i u^i e^{-ia_i u^{1/a_i}}$$

$$\frac{\Gamma[(i+2)a_i]}{\Gamma[(i+1)a_i]} = (ia_i)^{a_i}, \quad C_i = \frac{(ia_i)^{(i+1)a_i}}{a_i \Gamma[(i+1)a_i]}$$

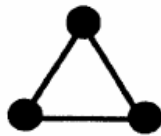
**$i+1$  atoms: smallest stable island  
critical nucleus**



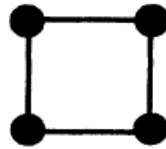
$i=0$



$i=1$



$i=2$

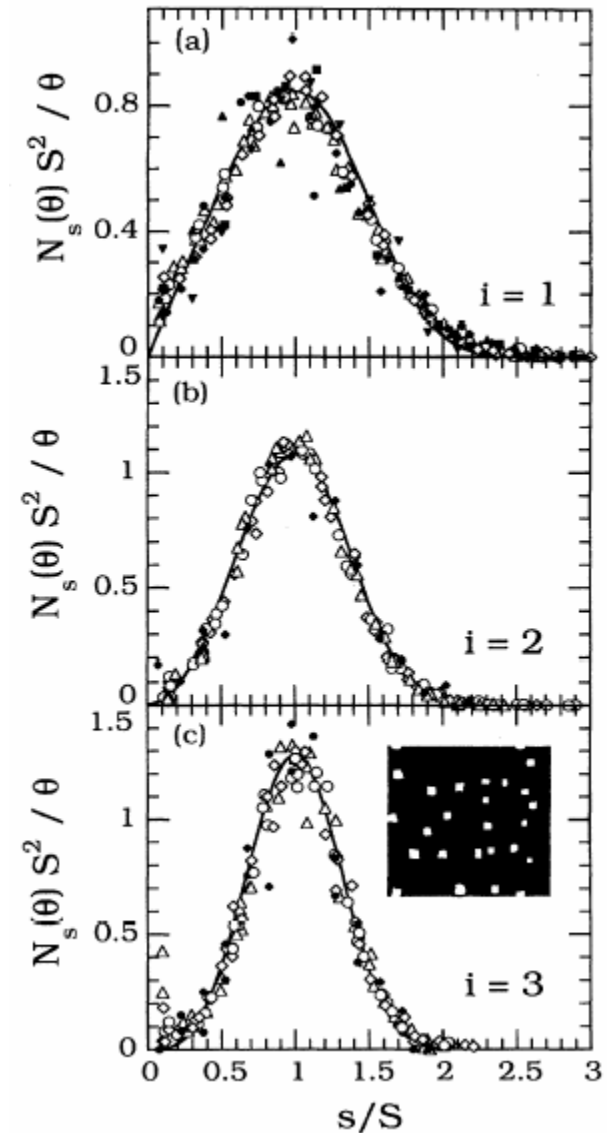


$i=3$

In contrast to Point-Island Rate Eqn for large  $D/F$

$$f_i(u) = \frac{1}{i+2} \left(1 - \frac{i+1}{i+2} u\right)^{-\frac{i}{i+1}}; \quad 0 \leq u \leq \frac{i+2}{i+1}$$

$$f_i(u) = 0; \quad u > \frac{i+2}{i+1}$$

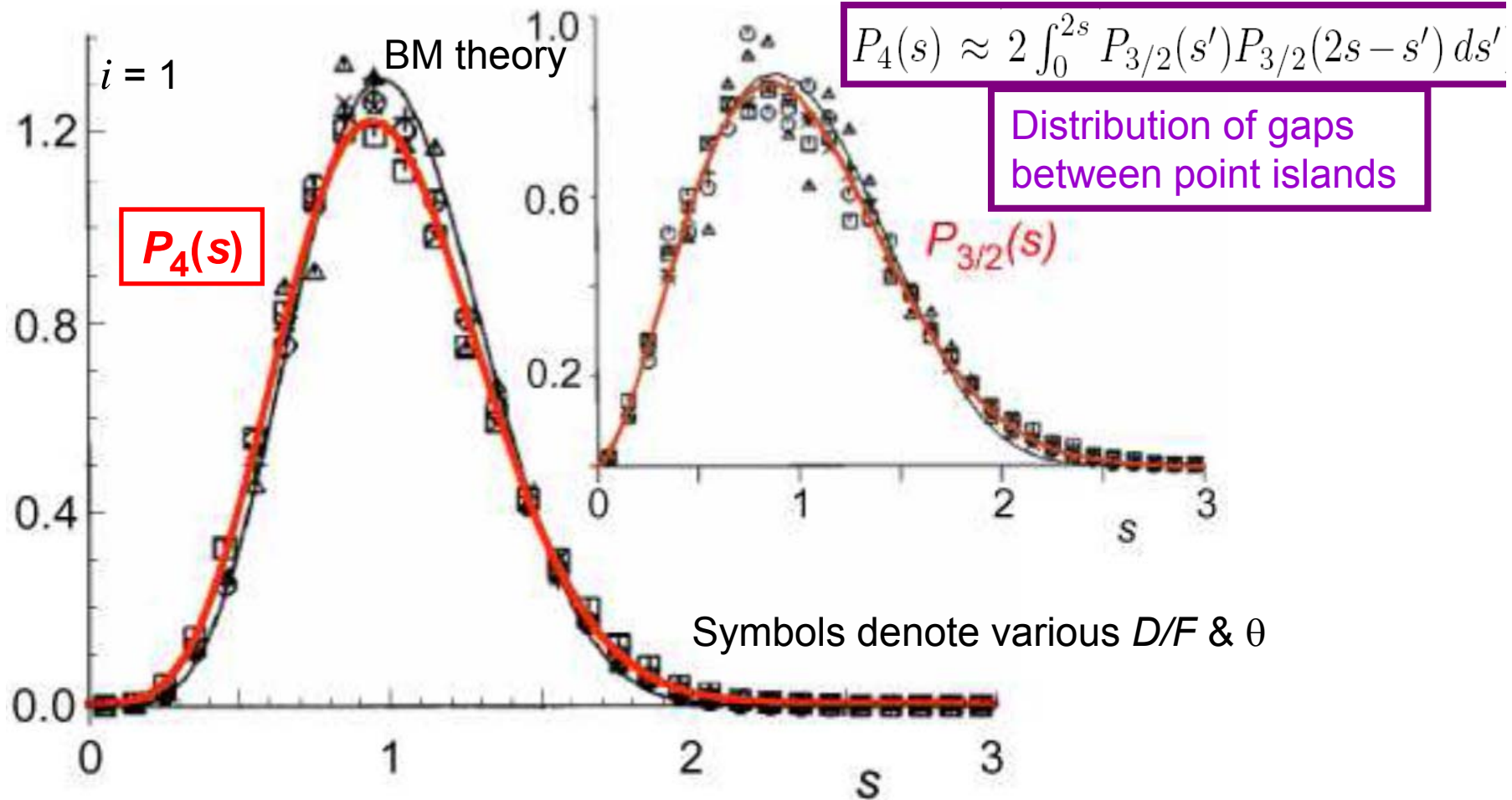


# Scaling During Growth in 1D: Going Beyond Mean-Field Rate Eqns.

Blackman & Mulheran, PRB 54 (96) 11681

$P_4(s)$  fits numerical data at least as well as B&M's complicated theory expression (not expressible succinctly)

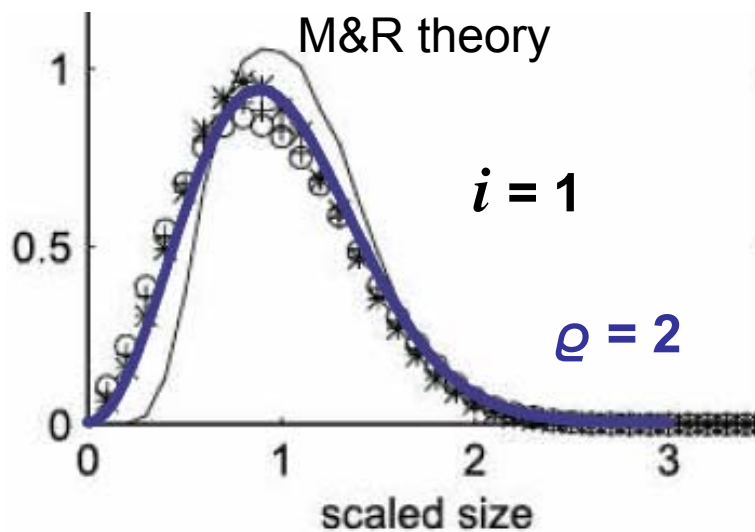
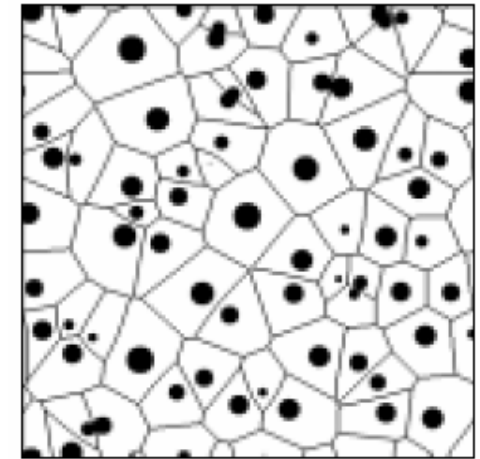
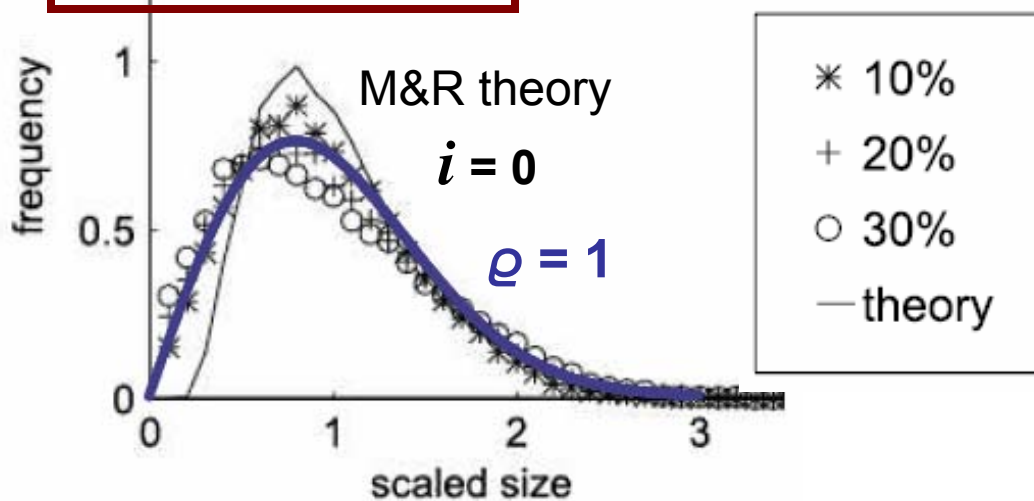
$$d = 1 \Rightarrow \varrho = 2(i + 1)$$



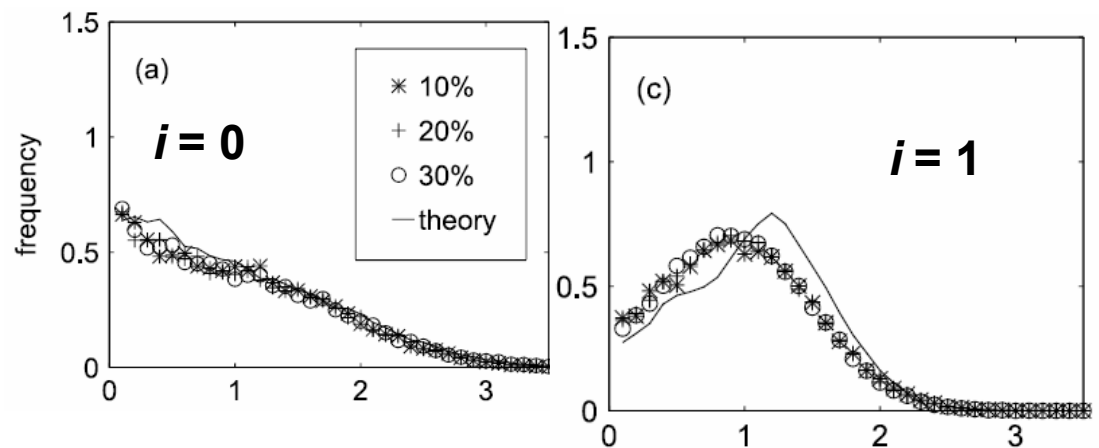
Theory of CZ size distributions in growth, *Mulheran & Robbie*, EPL 49(00)617

$d = 2 \Rightarrow \rho = i + 1$

Wigner distribution  $P_\rho(s)$  fits much better than M&R theory

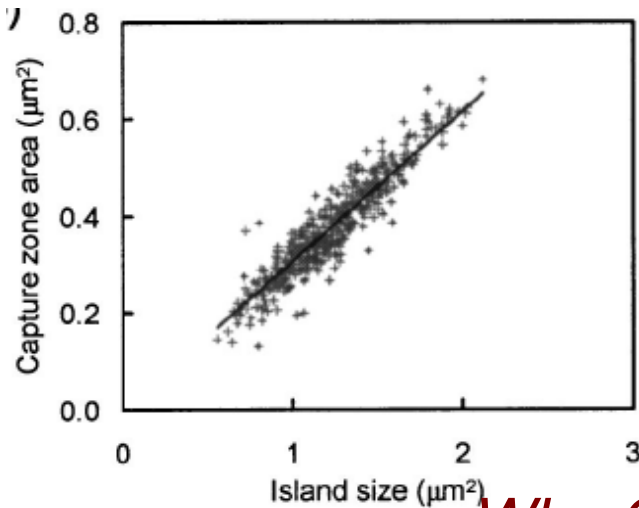
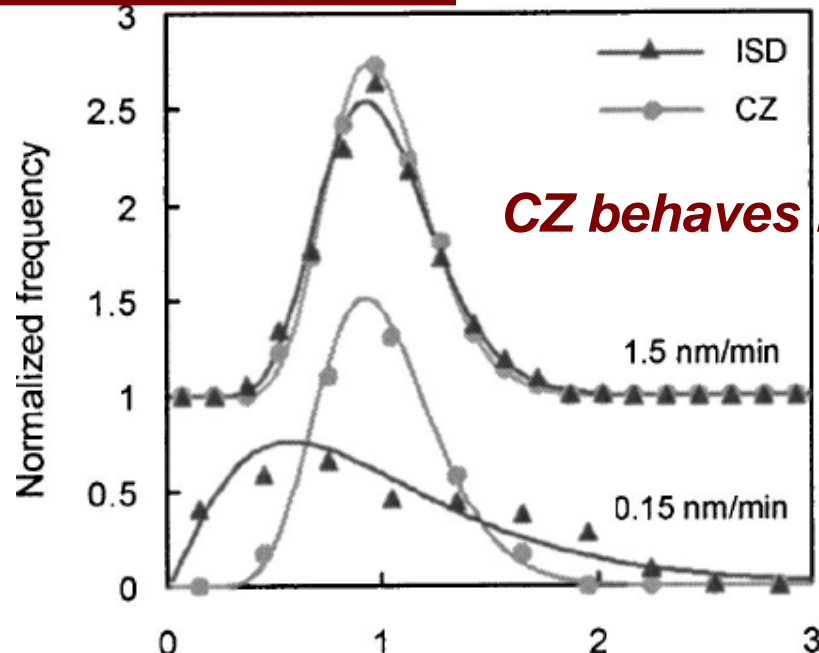
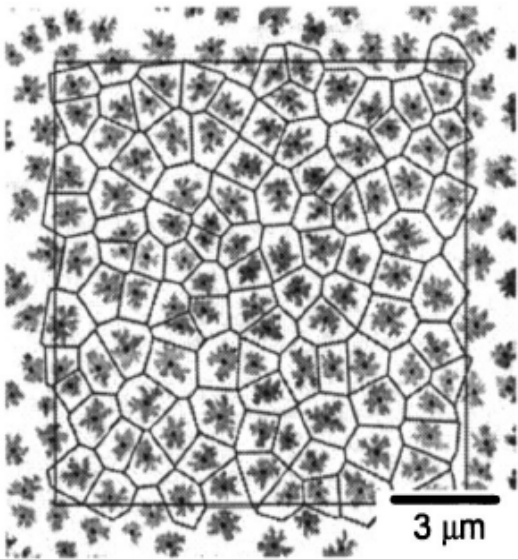


*Island size distribution not so informative*

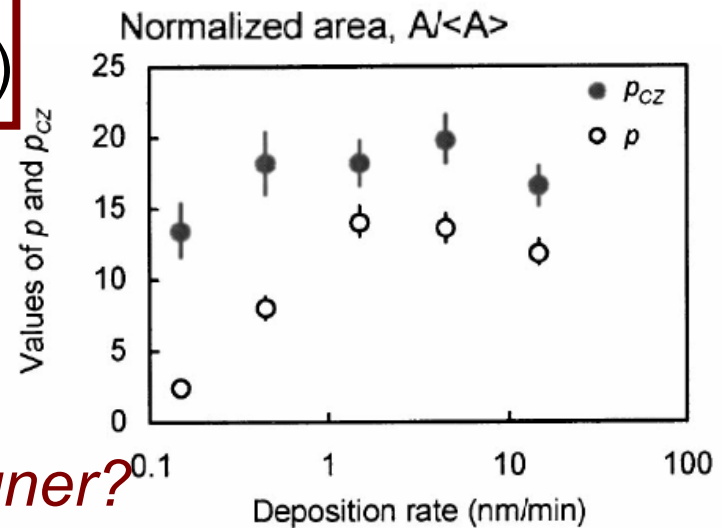


# Exp't: Pentacene/SiO<sub>2</sub> Praton et al., PRB 69 (04) 165201

Gamma func'n  $\Pi_{\alpha}(x) = [\alpha^{\alpha} / \Gamma(\alpha)] x^{\alpha-1} \exp(-\alpha x)$



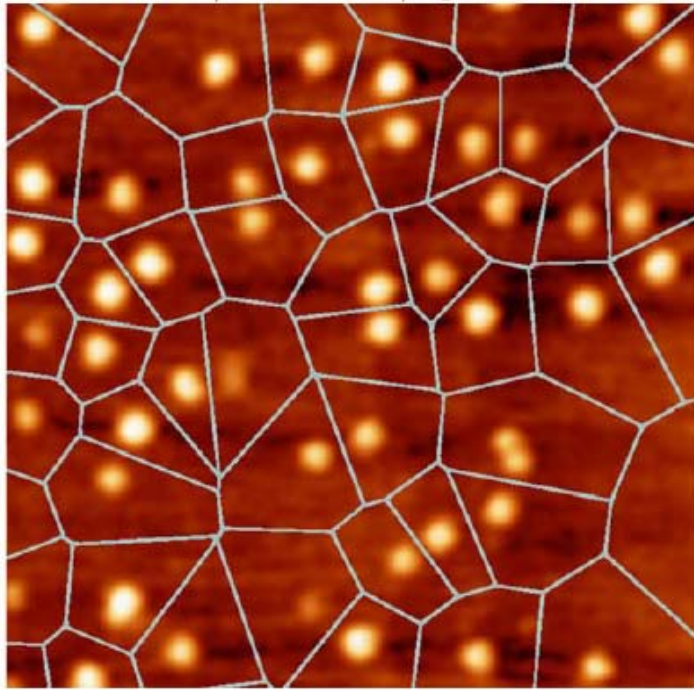
$\Pi_{2\varrho+\alpha_0}(s) \approx P_{\varrho}(s)$   
but  $\Pi$  more skewed



*Why Gamma, not Wigner?*

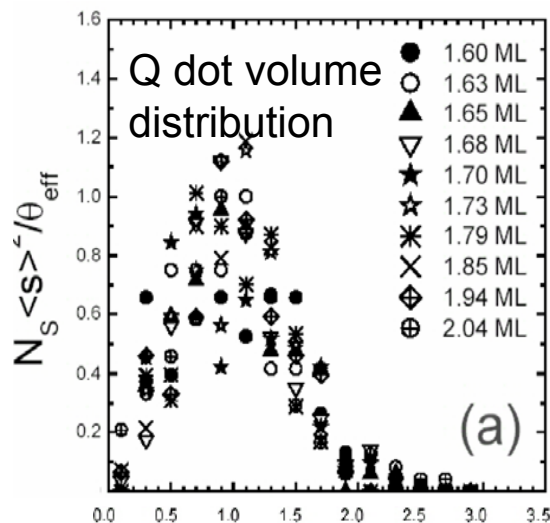
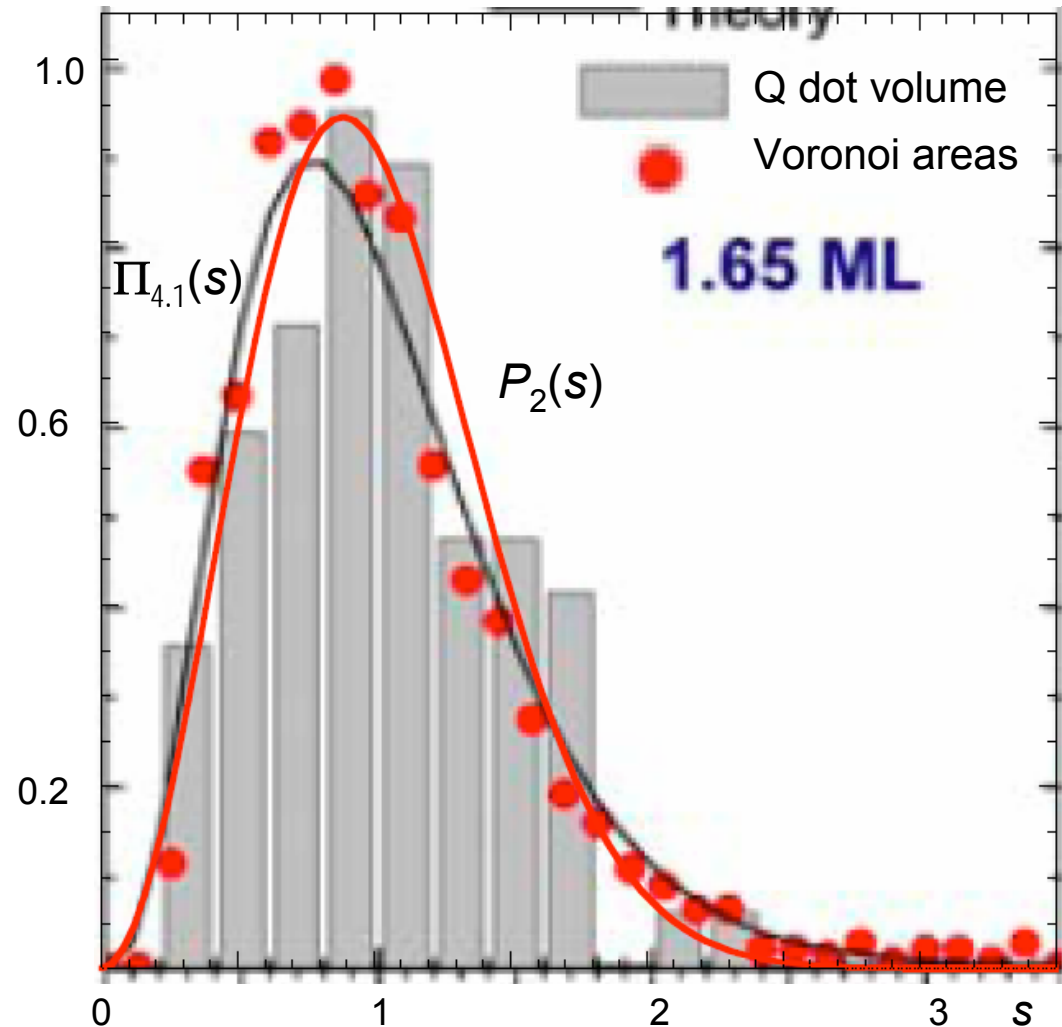
# Scale invariance in thin film growth: InAs *quantum dots* on GaAs(001)

M. Fanfoni *et al.*, PRB **75** ('07) xxx



AFM, 1.68 ML, 350x350nm<sup>2</sup>, 500°C

$\Theta$ (ML)	1.65	1.68	1.70	1.73	1.79	1.85
$\alpha$	4.1	4.6	4.5	4.7	4.5	4.6





# Why it works: Phenomenological theory

CZ does “random walk” with 2 competing effects on  $ds/dt$ :

1] Neighboring CZs hinder growth  $\Rightarrow$  external pressure, repulsion  $B$   
leads to force  $-KBs$  Also noise  $\eta$

2] Non-symmetric confining potential, new island nucleated with  
large size so force stops fluctuations of CZ to tiny values  
In Dyson model, logarithmic interaction, so  $+K(\ )/s$

3] Can argue in 2D that  $(\ )$  is  $i + 1$   
using critical density  $\propto s^i$ , # sites visited in lifetime  $\propto s^1$   
entropy  $\propto$  - product  $s^{i+1}$ , & force  $-\partial(\text{entropy}) / \partial s$   
[Also  $i + 1$  in 3D & 4D; but  $2(i + 1)$  in 1D]

$$\begin{aligned} \dot{N} &= \sigma n N_i = \sigma n^{i+1} \\ \sigma &= D / \ell^{2-d} \quad s \equiv \ell^d \\ n &\propto \ell^2 \approx s^{2/d} \\ \text{prod} &\propto s^{(2/d)(i+1)} \end{aligned}$$

4] Combine  $\Rightarrow$  Langevin eq.  $ds/dt = K [(2/d)(i + 1)/s - Bs] + \eta$  [ $d=1,2$ ]

5] Leads to Fokker-Planck eq. with stationary sol'n  $P_{\ominus}(s)$   
*cf.* AP, HG, & TLE, Phys. Rev. Lett. **95** (05) 246101

## Summary (see <http://www2.physics.umd.edu/~einstein>)

- TWD of vicinals provides physical entrée to intriguing **1D fermion models** & RMT, can connections to many other current physics issues--- universality in fluctuations
- Generalized Wigner surmise (GWS) relevant to problems in many fields, with  $\varrho$  having physical meaning
- For TWD,  $\varrho = 1 + [1 + 4 A\tilde{\beta}/(k_B T)^2]^{1/2}$
- With Fokker-Planck, study relaxation
- Narrowing of TWD due to growth
- Look at **distribution of areas of capture zones**, rather than island sizes
- CZ well described by GWS  $P_{\varrho}(s)$ , **characteristic of universal fluctuations**, with  $\varrho = (2/d)(i + 1)$

## References (download: <http://www2.physics.umd.edu/~einstein>)

- *Capture-Zone Scaling in Island Nucleation: Phenomenological Theory Linking to Random-Matrix Theory*, Alberto Pimpinelli & TLE, submitted
- *Fokker-Planck Approach to Relaxation of Terrace-width Distributions on Vicinals: Physical Information in the Time Constant*, Ajmi Bhadj-Hamouda, Alberto Pimpinelli, and TLE, submitted
- *Using the Wigner-Ibach Surmise to Analyze Terrace-Width Distributions: History, User's Guide, and Advances*, TLE, Appl. Phys. A 87, 375 (2007)
- *Evolution of Terrace-width Distributions on Vicinal Surfaces: Fokker-Planck Derivation of the Generalized Wigner Surmise*, Alberto Pimpinelli, Hailu Gebremariam, and TLE, Phys. Rev. Lett. 95, 246101 (2005).
- *Beyond the Wigner Distribution: Schrödinger Equations and Terrace Width Distributions*, H. L. Richards and TLE, Phys. Rev. E 72, 016124 (2005)
- *Si(111) Step Fluctuations at High Temperature: Anomalous Step-Step Repulsion*, S. D. Cohen, R.D. Schroll, TLE, J.-J. Métois, Hailu Gebremariam, H. L. Richards, and E. D. Williams, Phys. Rev. B 66, 115310 (2002)
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- *Extraction of Step-Repulsions Strengths from Terrace Width Distributions: Statistical and Analytic Considerations*, H. L. Richards, Saul D. Cohen, TLE, and M. Giesen, Surface Sci. 453, 59-74 (2000)
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- *Distribution of Terrace Widths on a Vicinal Surface in the One-Dimensional Free-Fermion Model*, B. Joós, TLE, and N. C. Bartelt, Phys. Rev. B 43, 8153-8162 (1991)